

# Mechanisms for influence of the stratosphere on the troposphere

- Radiative
- Mass transfer/chemical
- **Dynamical**

*Alan Plumb*

*M. I. T.*

*Apr 2003*

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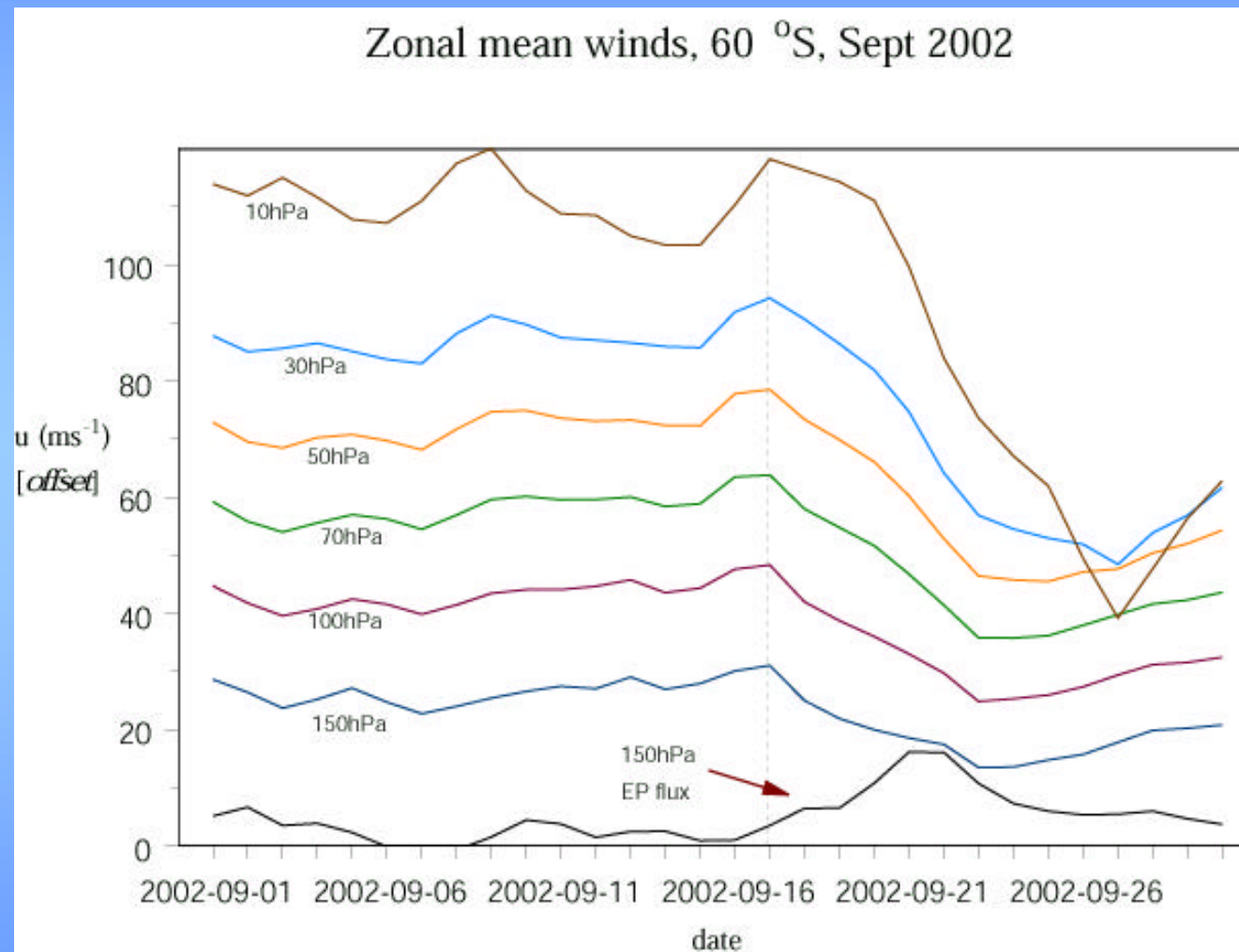
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## Stratospheric response to tropospheric wave “bursts” [1]



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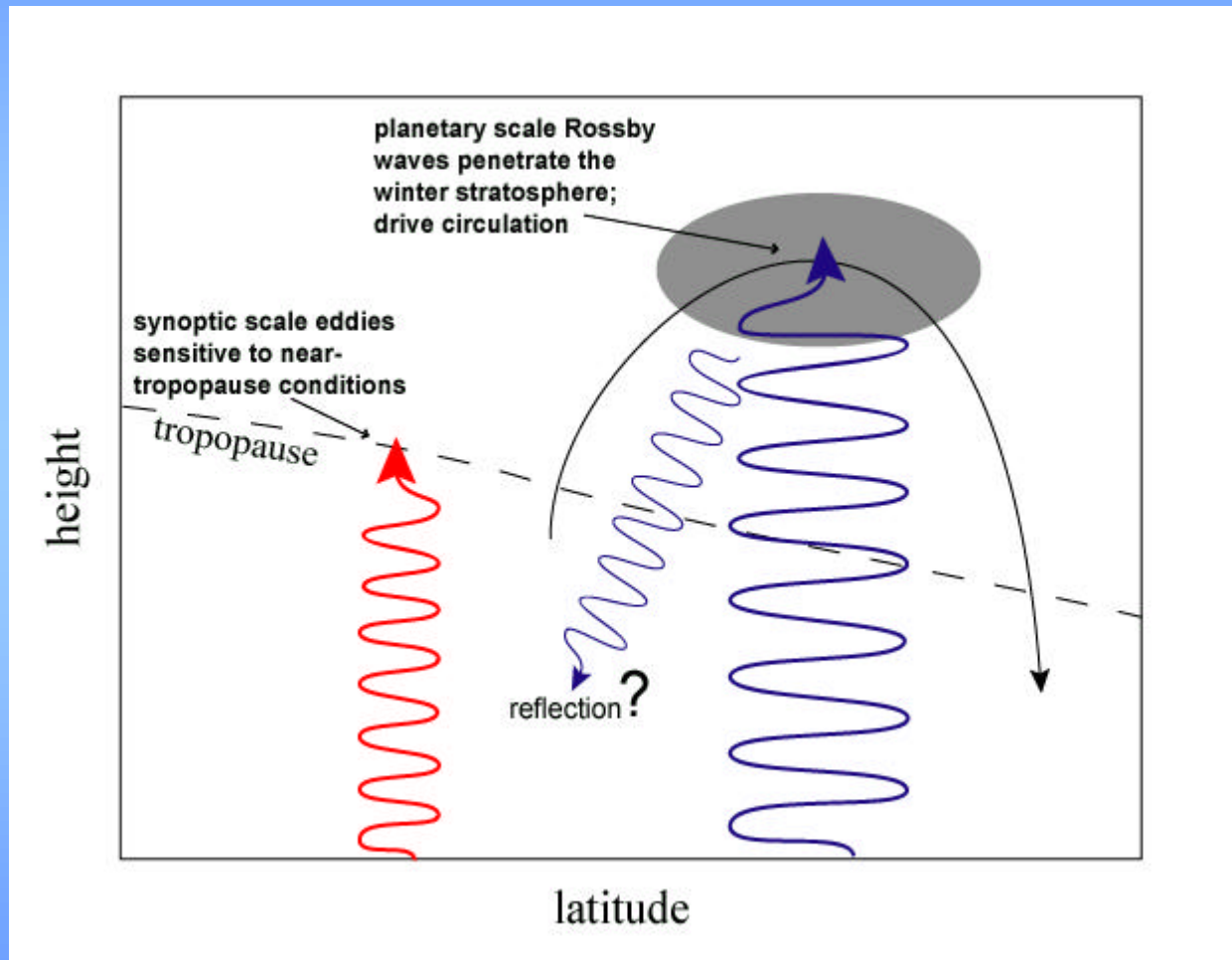
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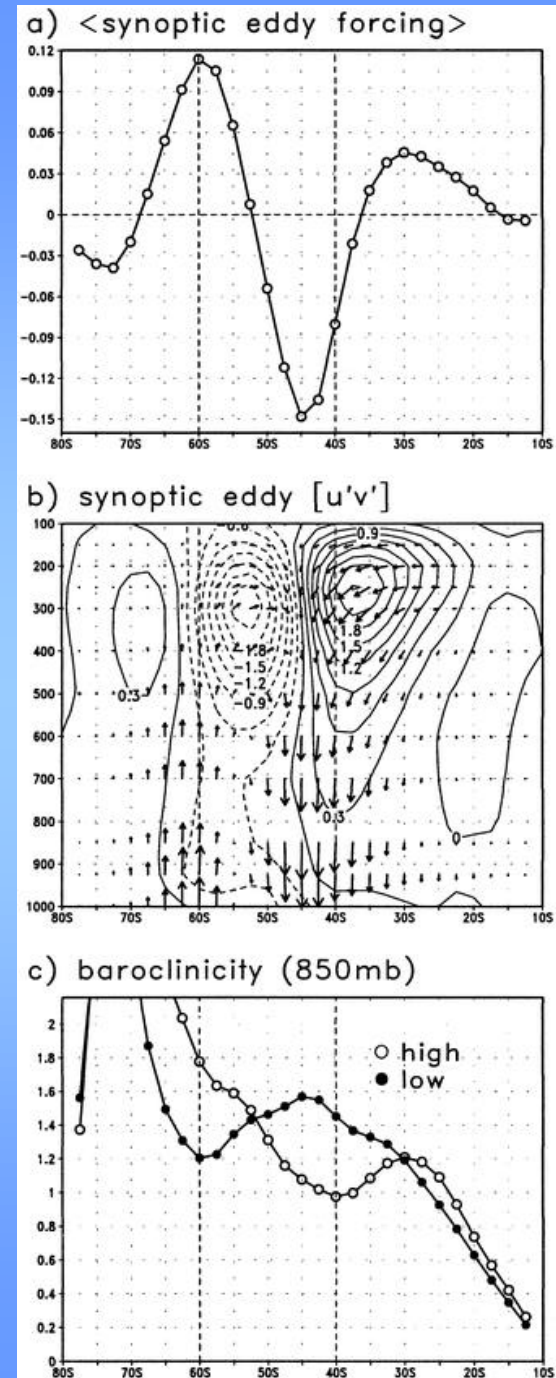
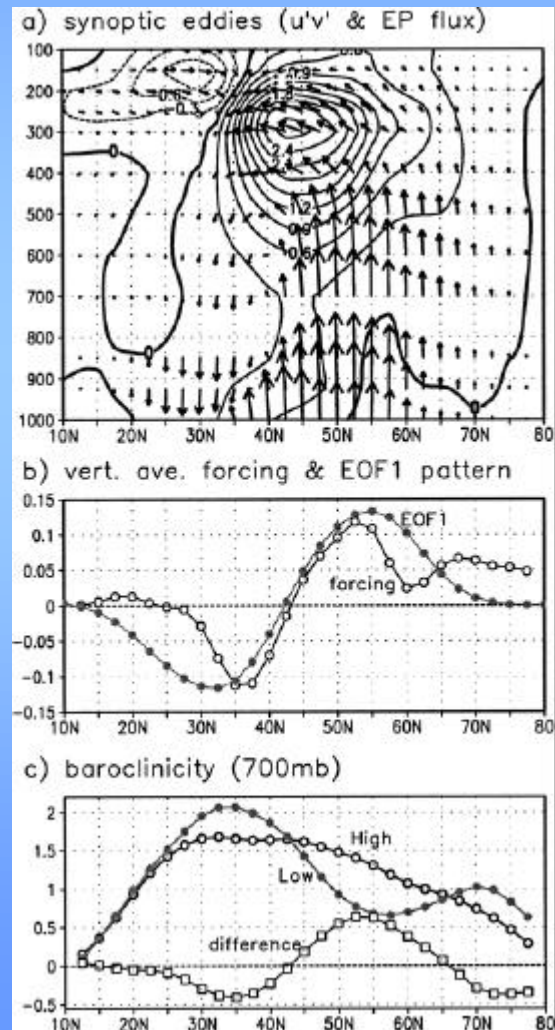
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- *Is there any unambiguous observational evidence for such an effect and how could it be identified?*

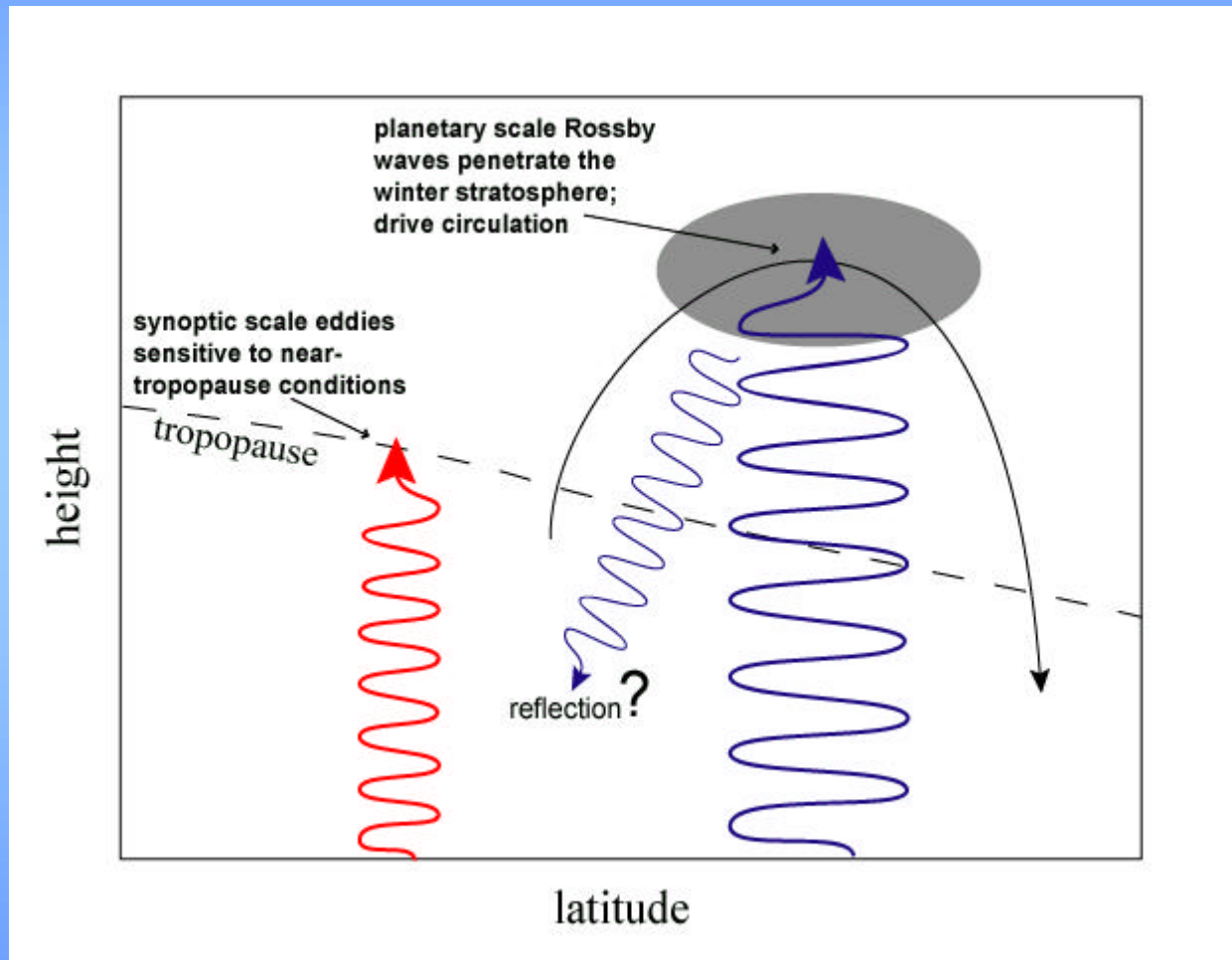
## Possible mechanisms for feedback



**Lorenz & Hartmann**  
*J. Atmos. Sci* (2001); *J. Clim* (2003)



## Possible mechanisms for feedback





# Planetary wave reflection

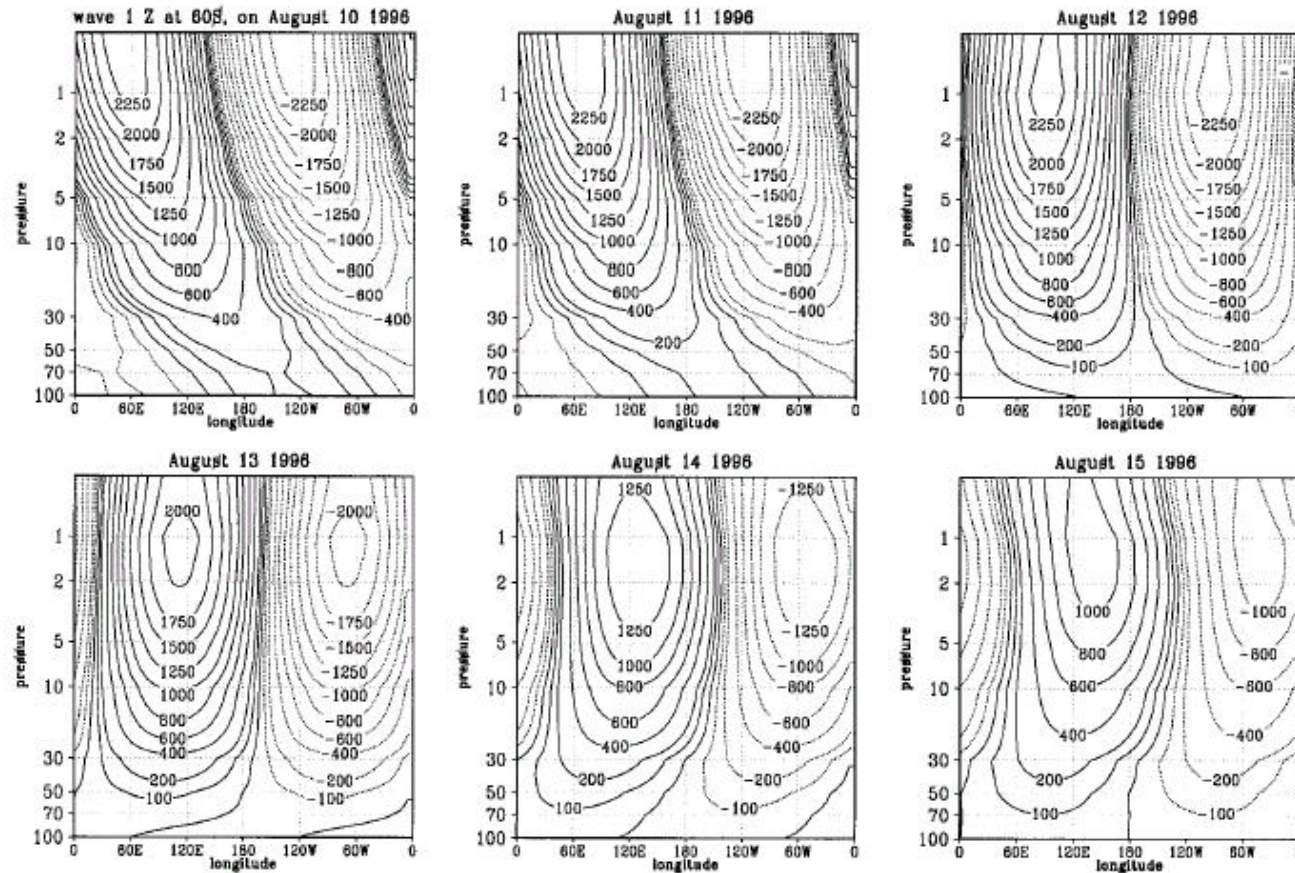
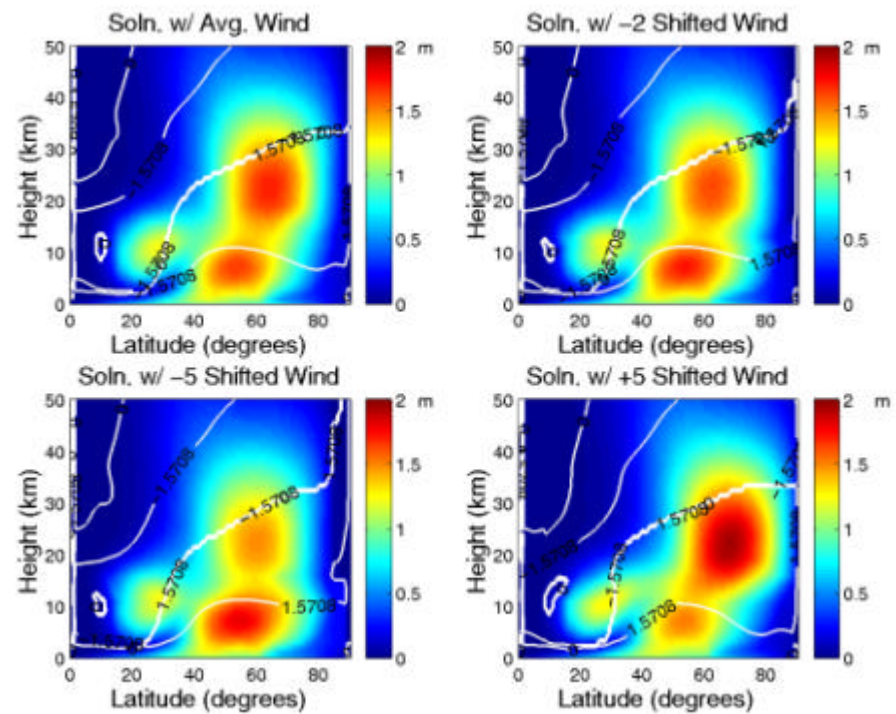
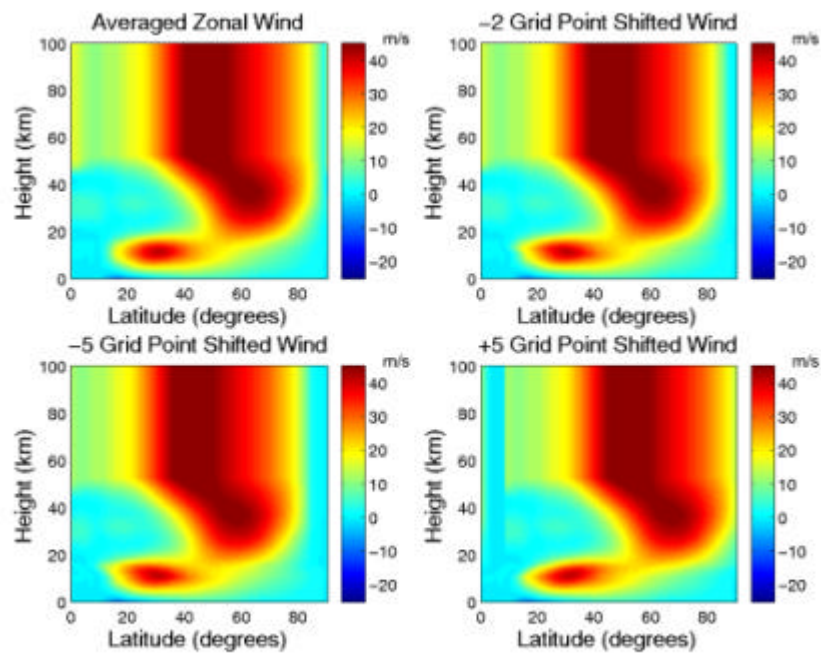


FIG. 7. Daily longitude–height cross sections at 60°S of wave 1 geopotential height for 10–15 Aug 1996. Contour intervals are at 0,  $\pm 100$ ,  $\pm 200$ ,  $\pm 400$ ,  $\pm 600$ ,  $\pm 800$ , and  $\pm 1000$ –2500, in jumps of 250 mb. Negative values are dashed. The vertical grid is the observational grid in millibars (100–0.4 mb).

## The Effect of Reflecting Surfaces on the Vertical Structure and Variability of Stratospheric Planetary Waves

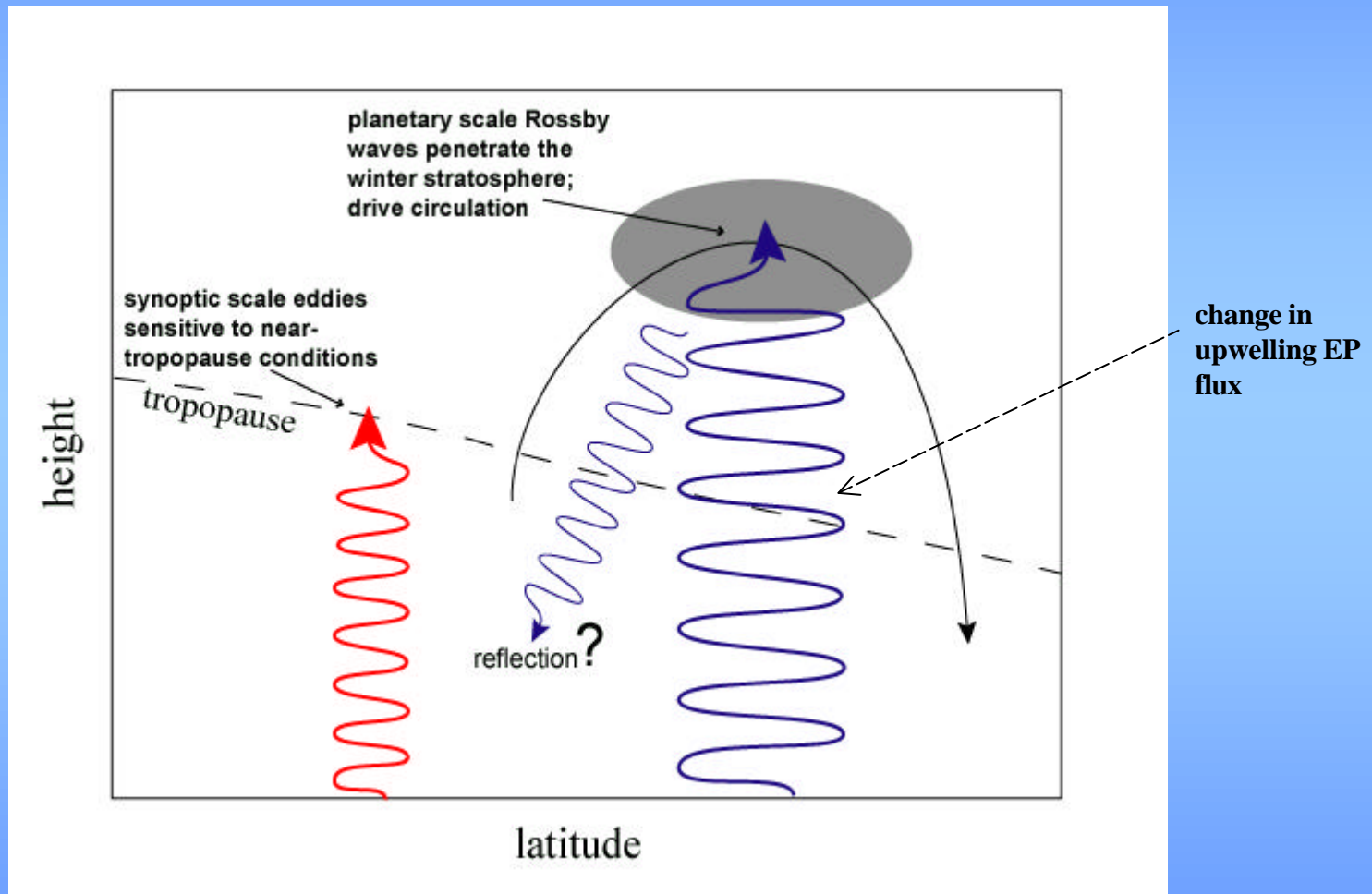
NILI HARNIK AND RICHARD S. LINDZEN

## Response of tropospheric Rossby waves to a stratospheric wind shift

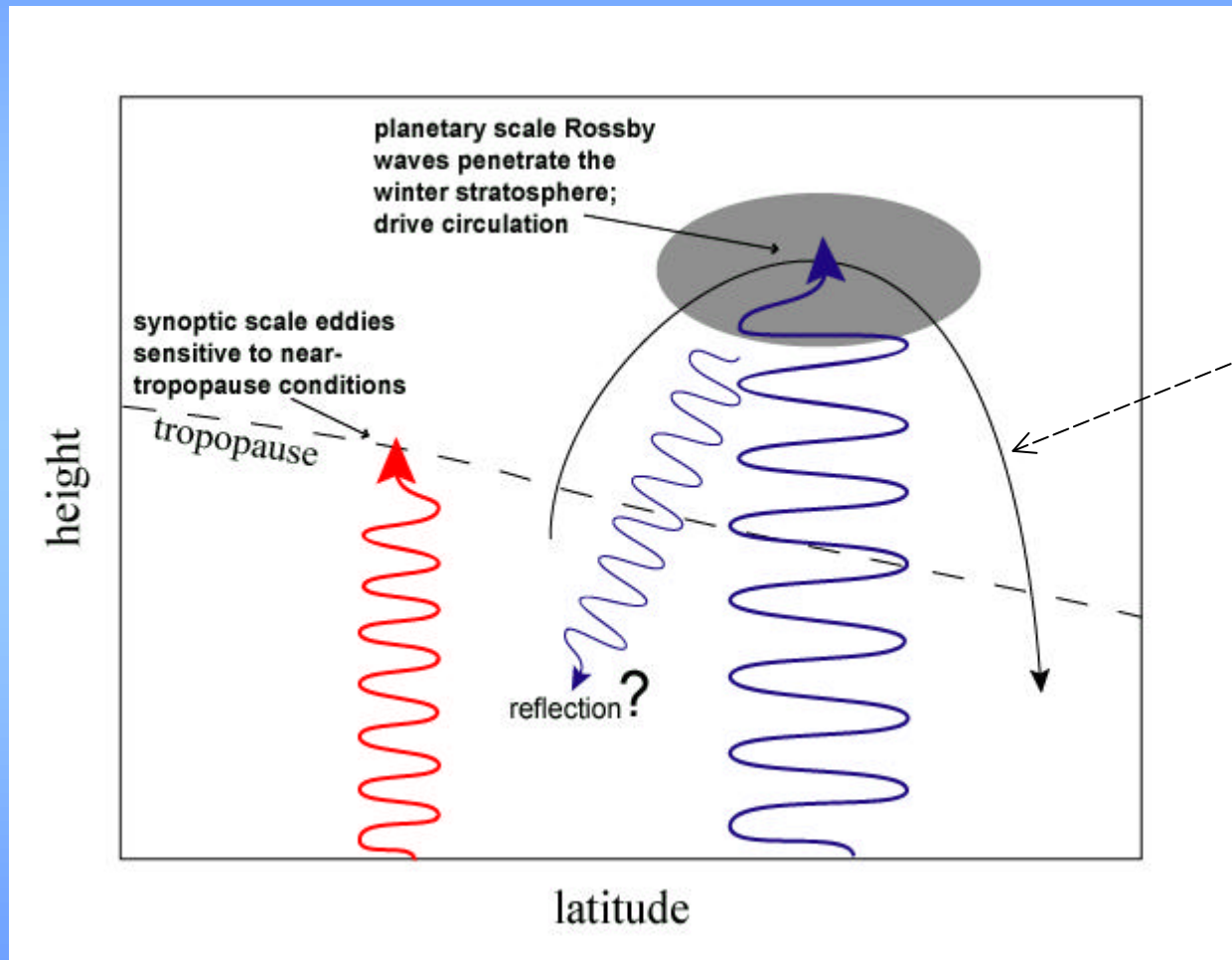


*(Courtesy of Michael Ring, MIT)*

## Possible mechanisms for feedback



## Possible mechanisms for feedback





## Stratospheric Forcing of Surface Climate in the Arctic Oscillation

ROBERT X. BLACK

*School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, Georgia*

Remote balanced  
interaction

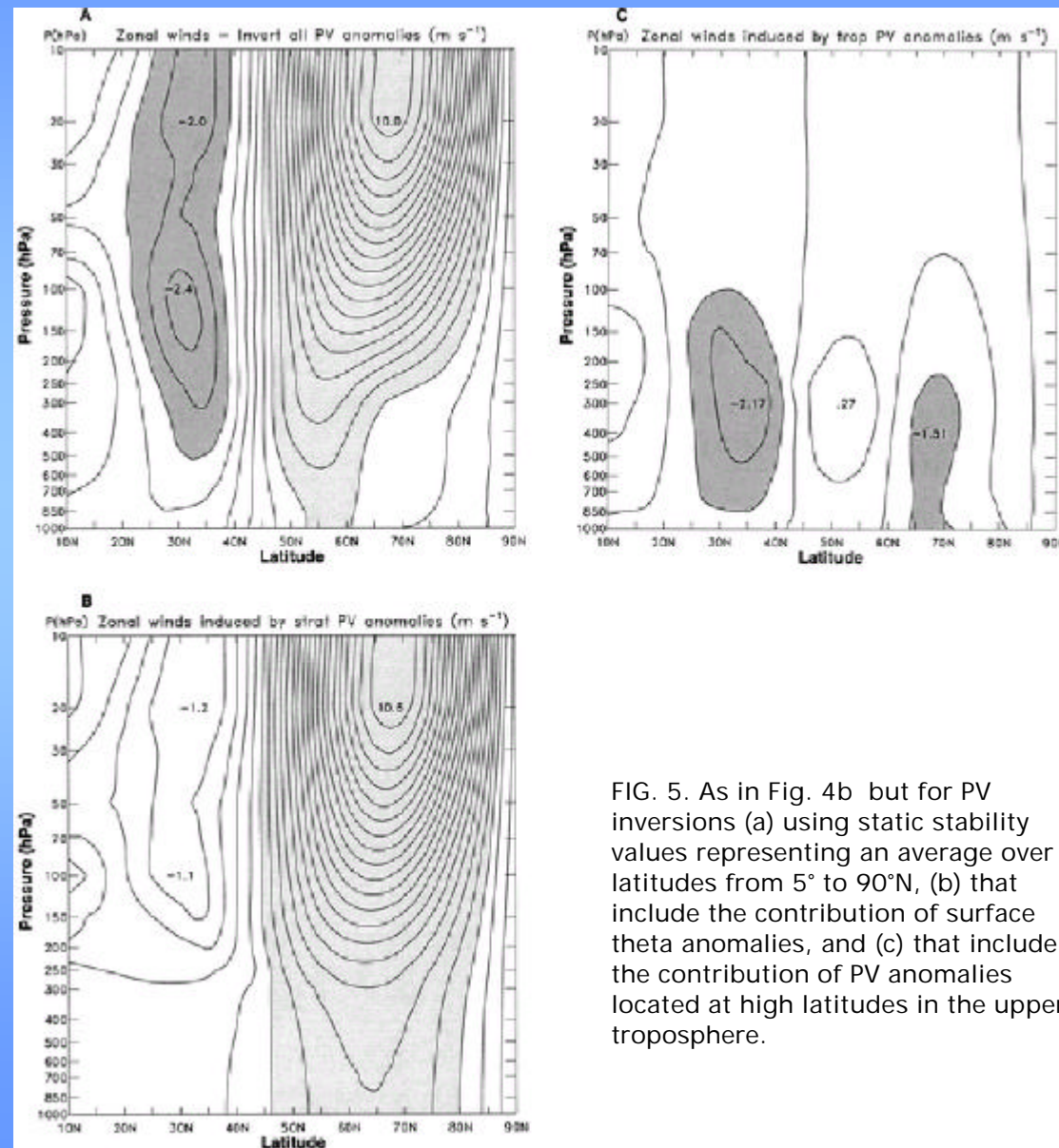
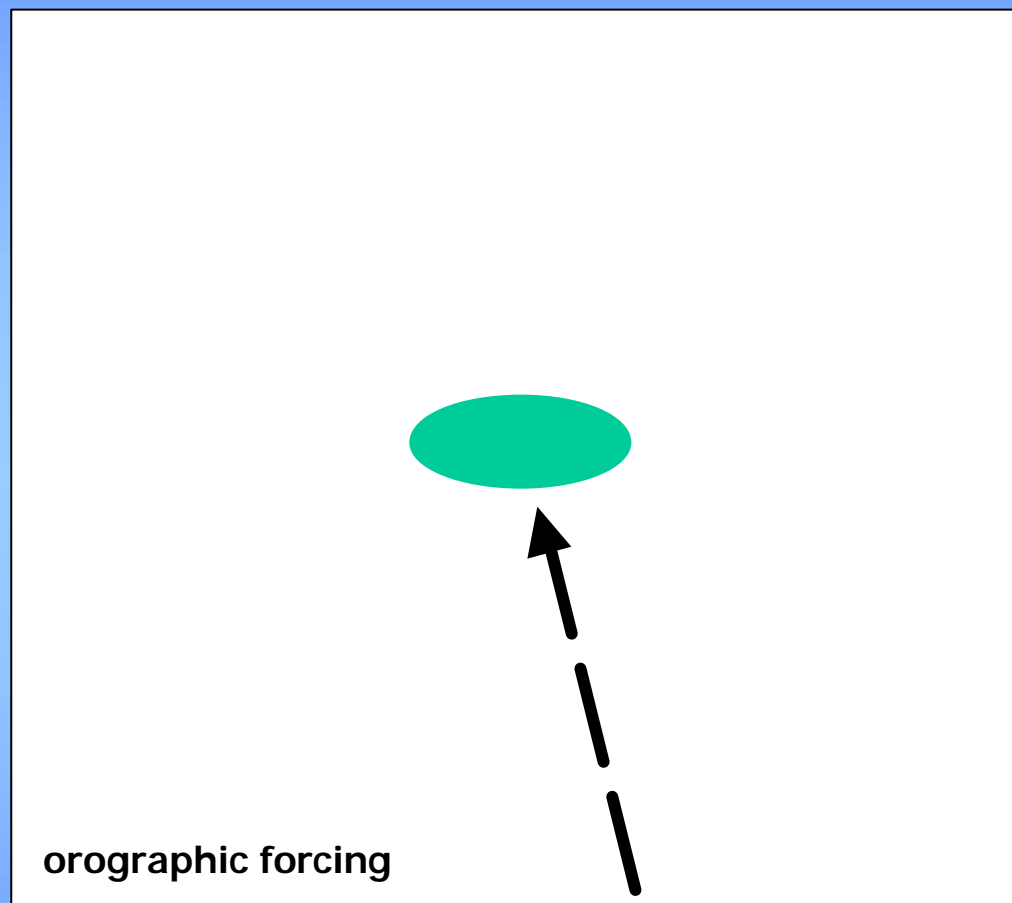


FIG. 5. As in Fig. 4b but for PV inversions (a) using static stability values representing an average over latitudes from 5° to 90°N, (b) that include the contribution of surface theta anomalies, and (c) that include the contribution of PV anomalies located at high latitudes in the upper troposphere.

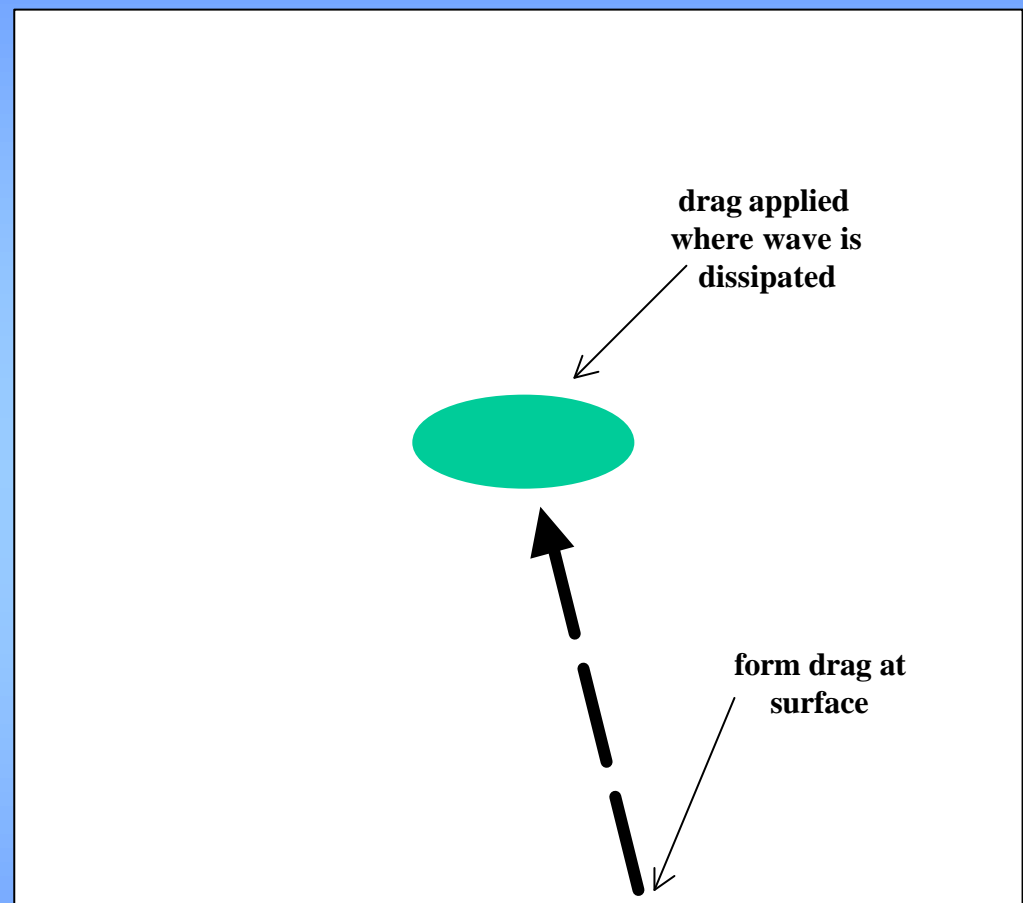
z



EQ

POLE

$z$  ↑



EQ

POLE

↑  
Z

non-orographic forcing

EQ

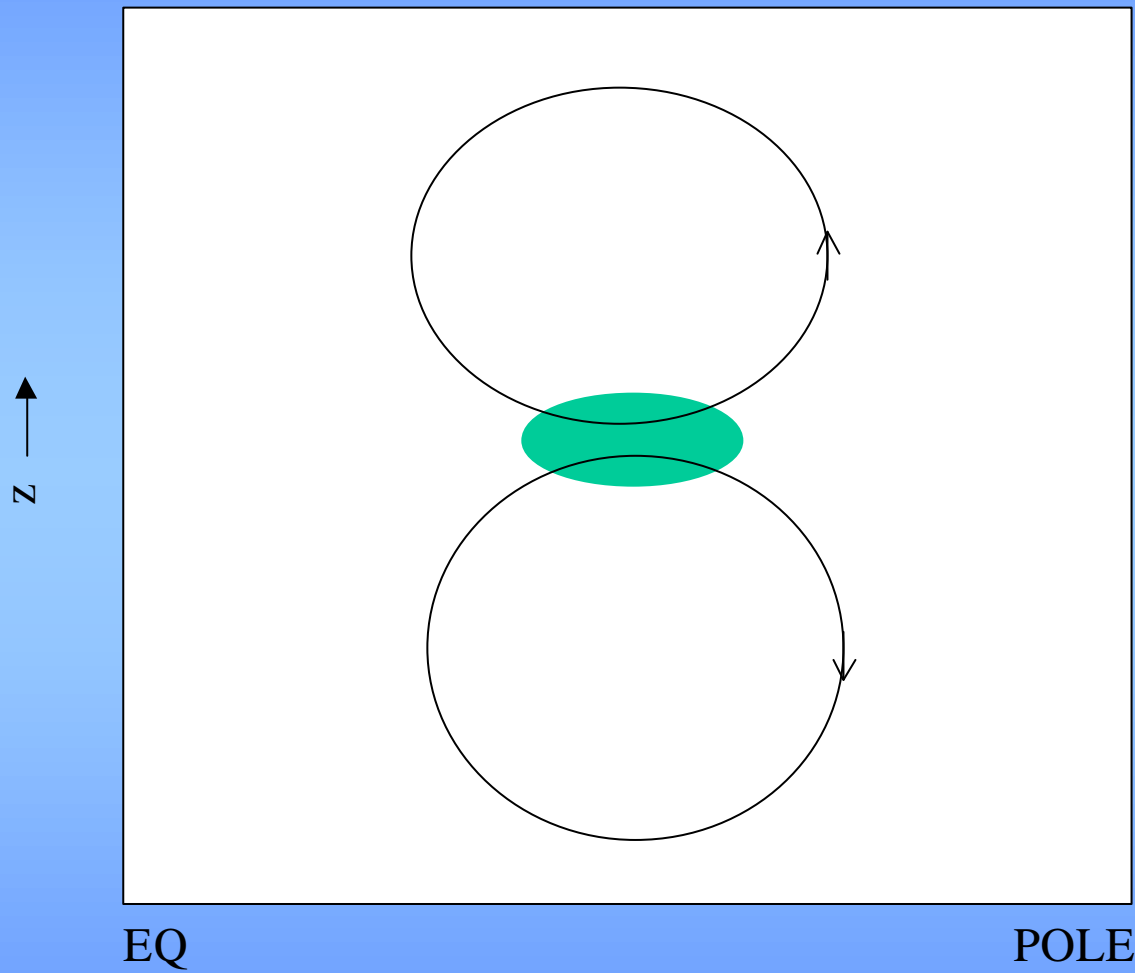
POLE

drag applied  
where wave is  
dissipated

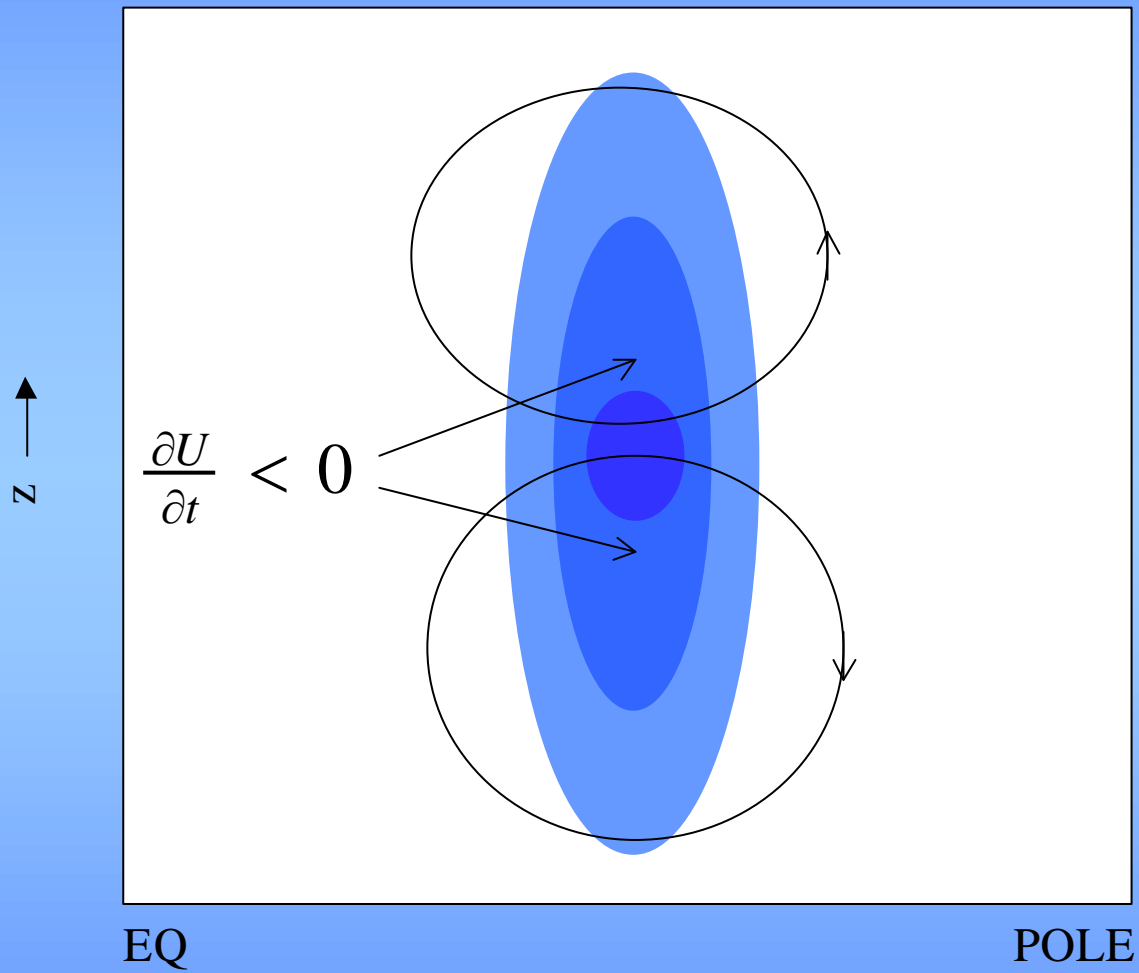
westerly force  
applied where  
wave is generated



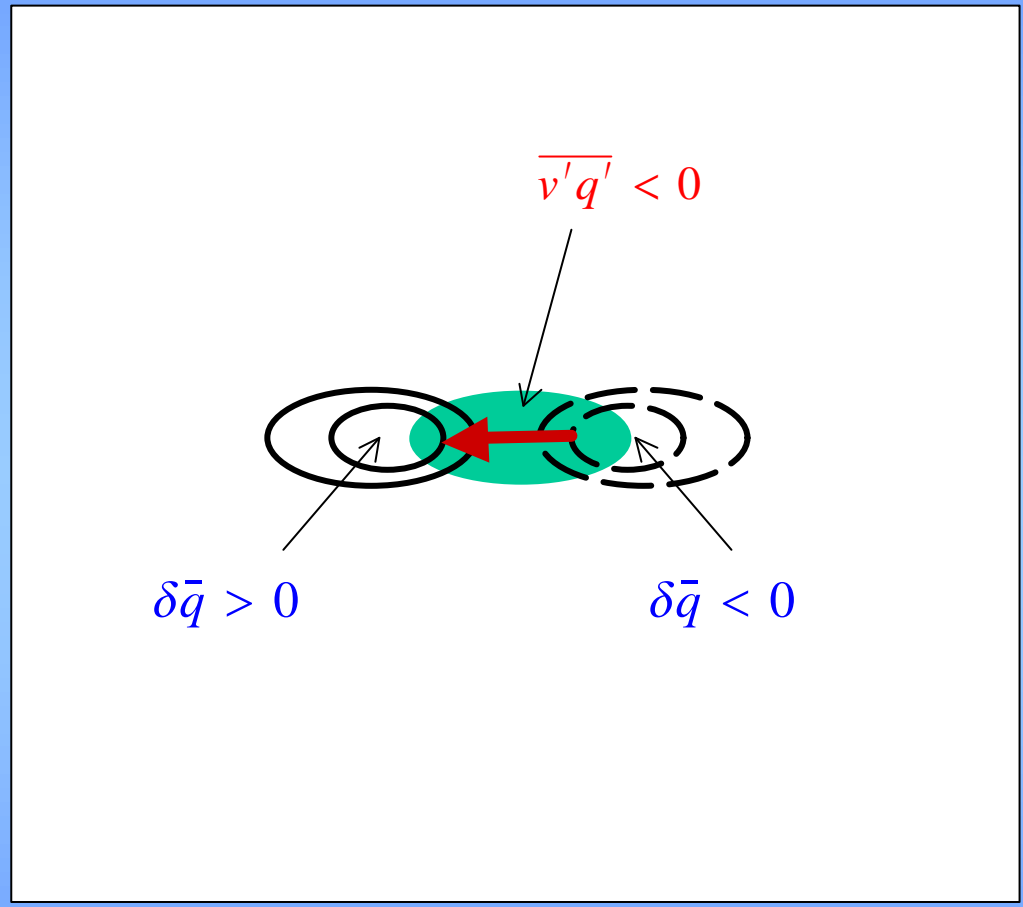
## Short-term response



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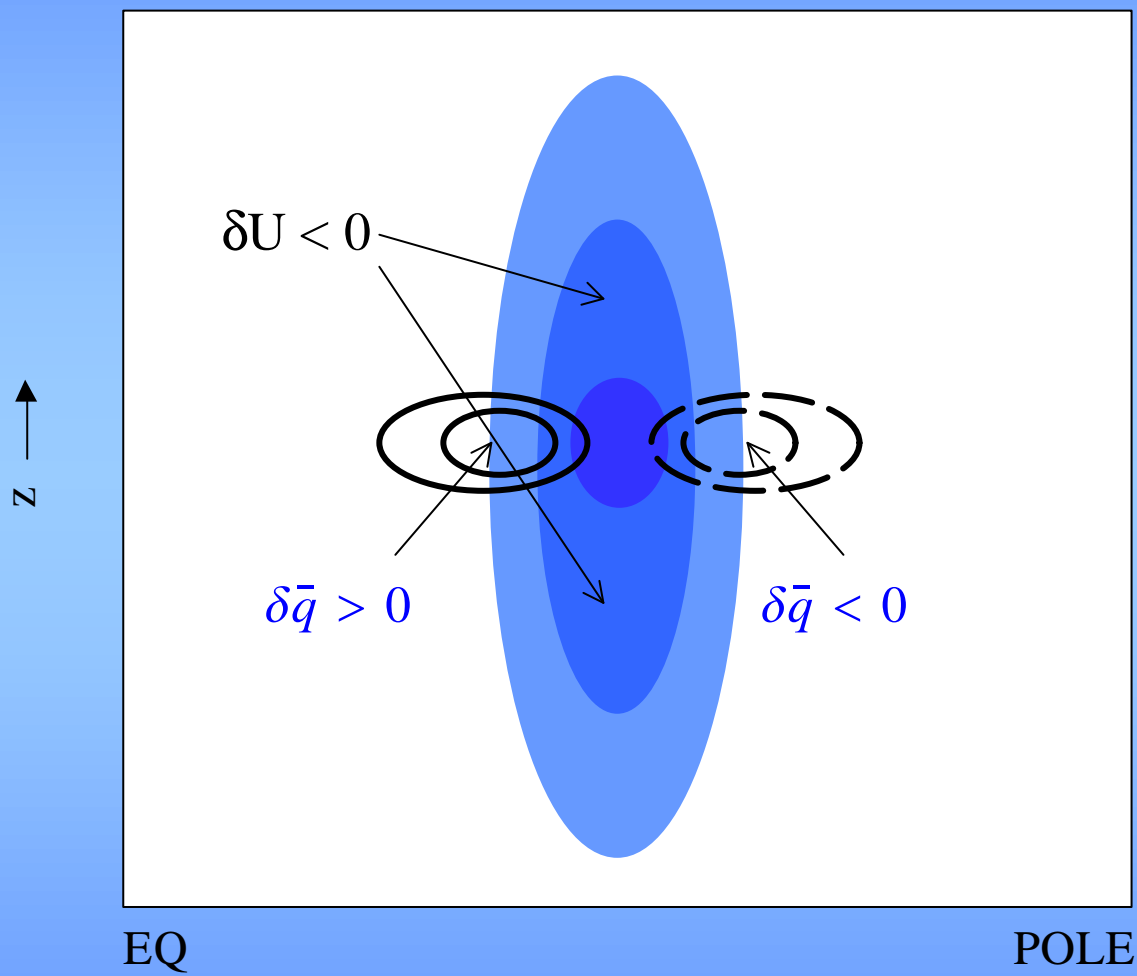


z ↑



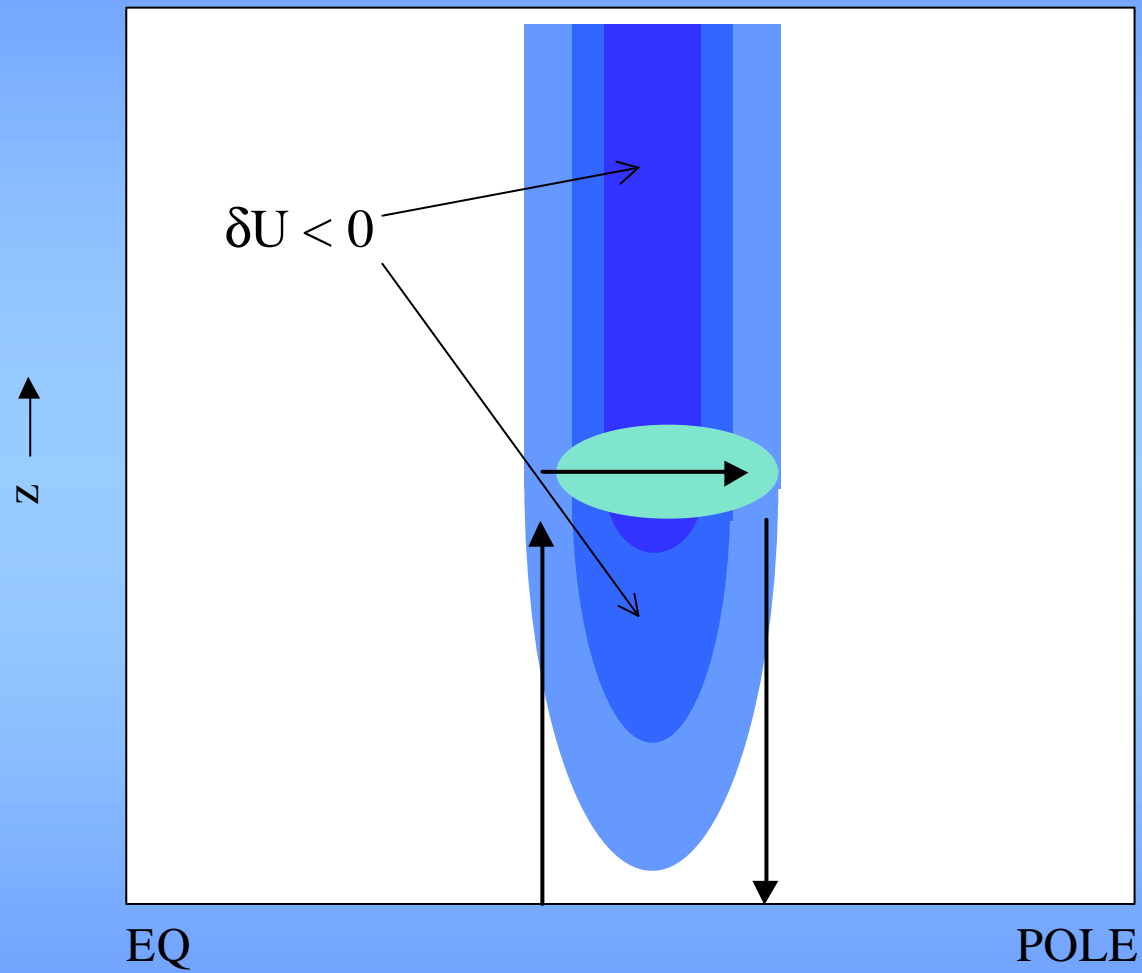
EQ

POLE



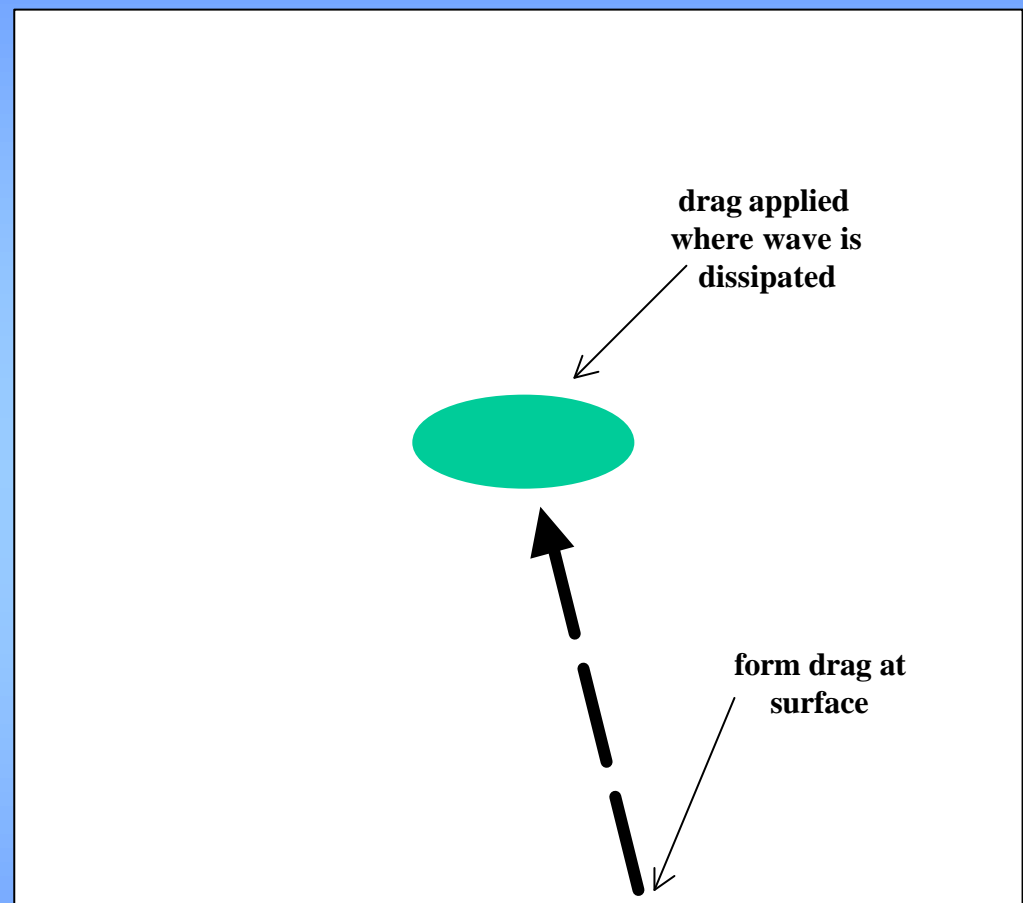


## Long-term steady response



“Downward control” does not always mean  
downward control!

$z$  ↑



EQ

POLE

## Surface mean wind—long term balance

Long-term QG steady state

$$-f\bar{v}^* = \frac{1}{\cos\phi} \nabla_p \cdot \mathbf{F} + g \frac{\partial \tau}{\partial p}$$

$$\bar{\omega}^* \frac{\partial \bar{\theta}}{\partial p} = \left( \frac{p_0}{p} \right)^\kappa \frac{J}{\rho c_p}$$

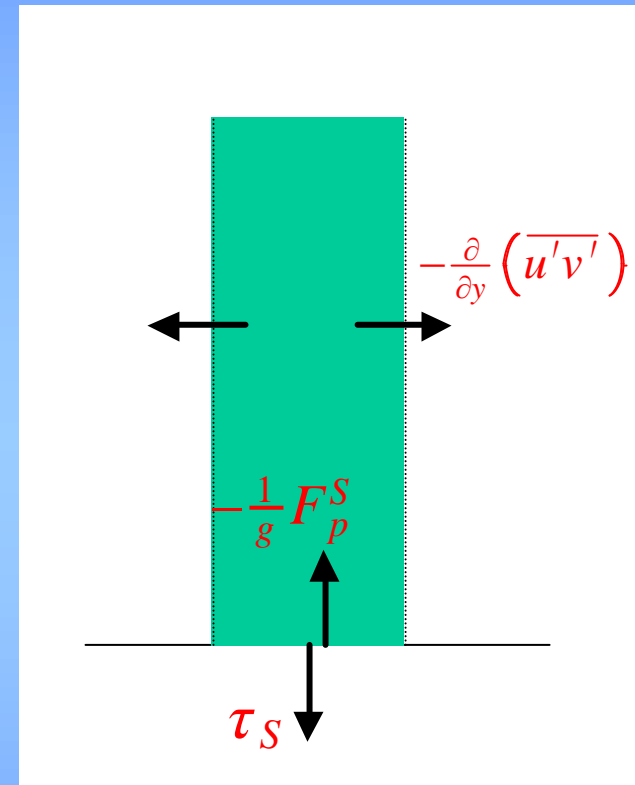
Integrated angular momentum balance

$$\tau_S = -\frac{1}{g} F_p^S - \frac{1}{a \cos^2 \phi} \frac{\partial}{\partial \phi} \left( \cos^2 \phi \int \overline{u'v'} dp \right)$$

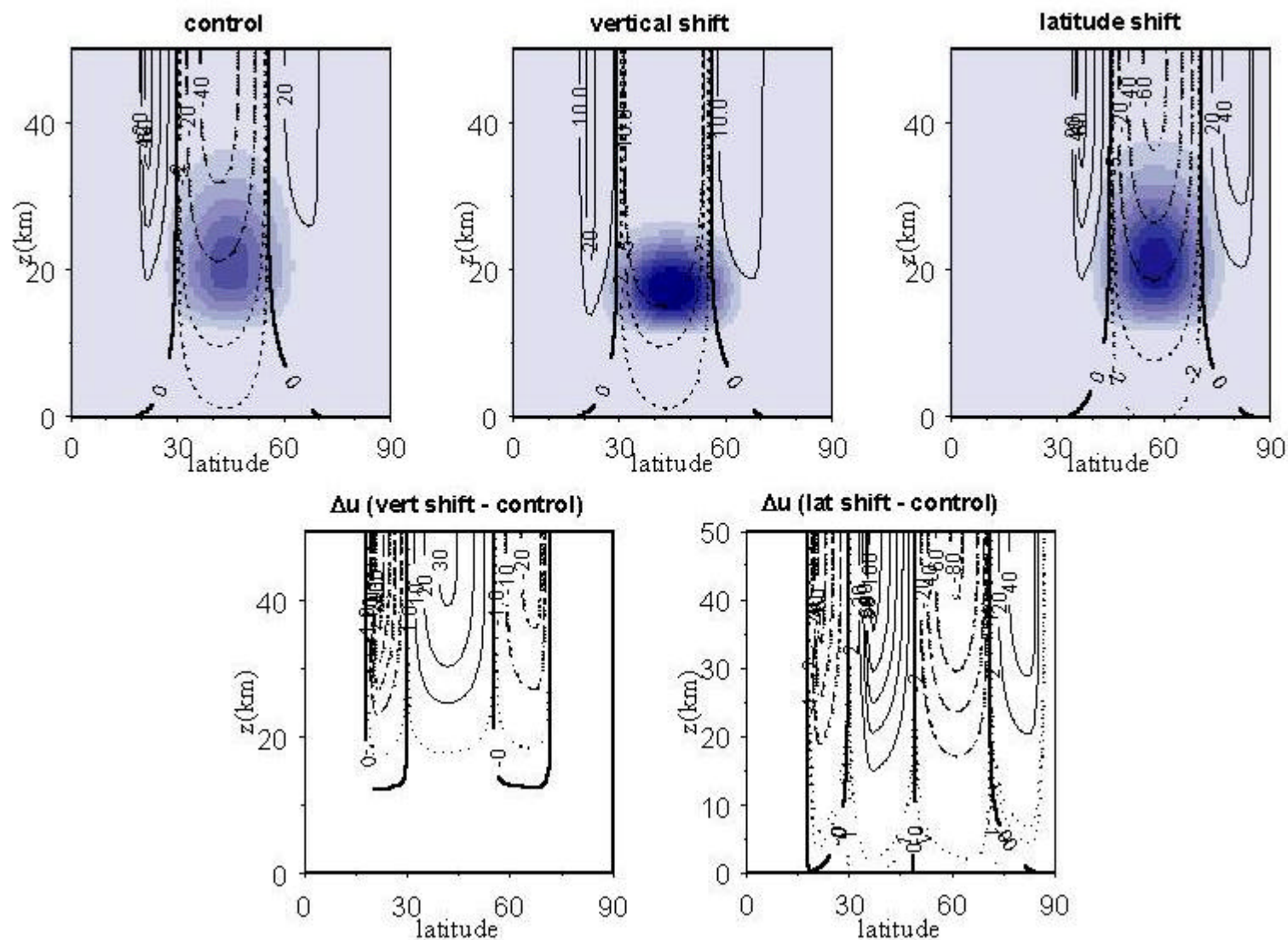
↑  
surface stress

↑  
form drag

↑  
lateral radiation of  
angular momentum



## Steady zonal wind response to EP flux convergence



## Surface mean wind—long term balance

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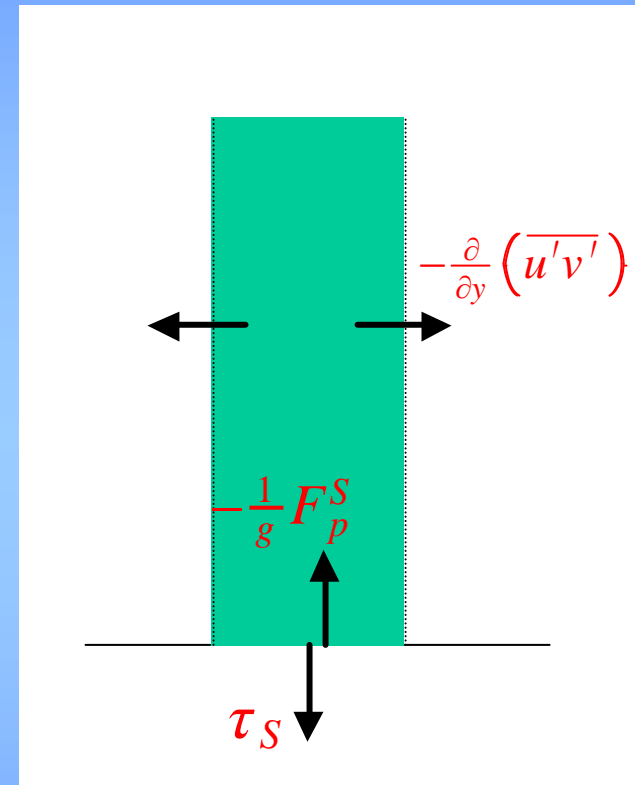
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surface stress

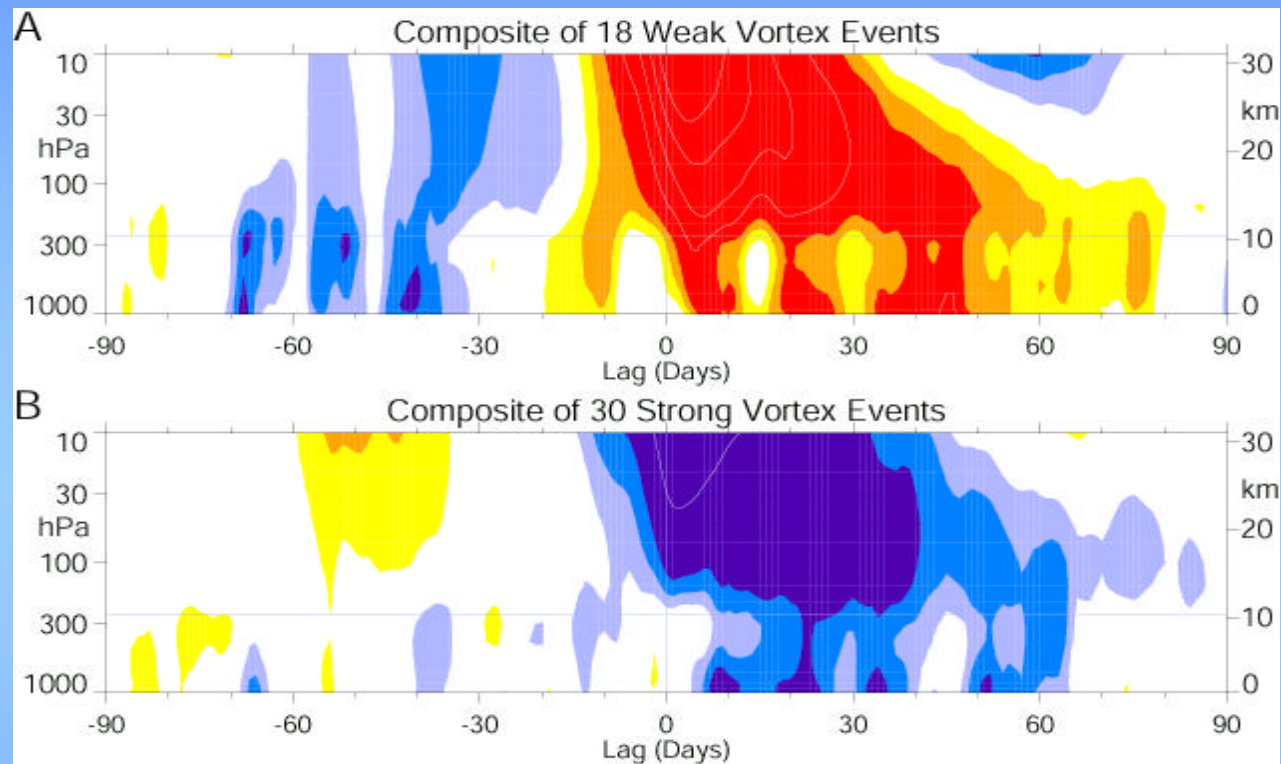
↑  
form drag

↑  
lateral radiation of  
angular momentum



Short-term behavior

## Downward propagation?

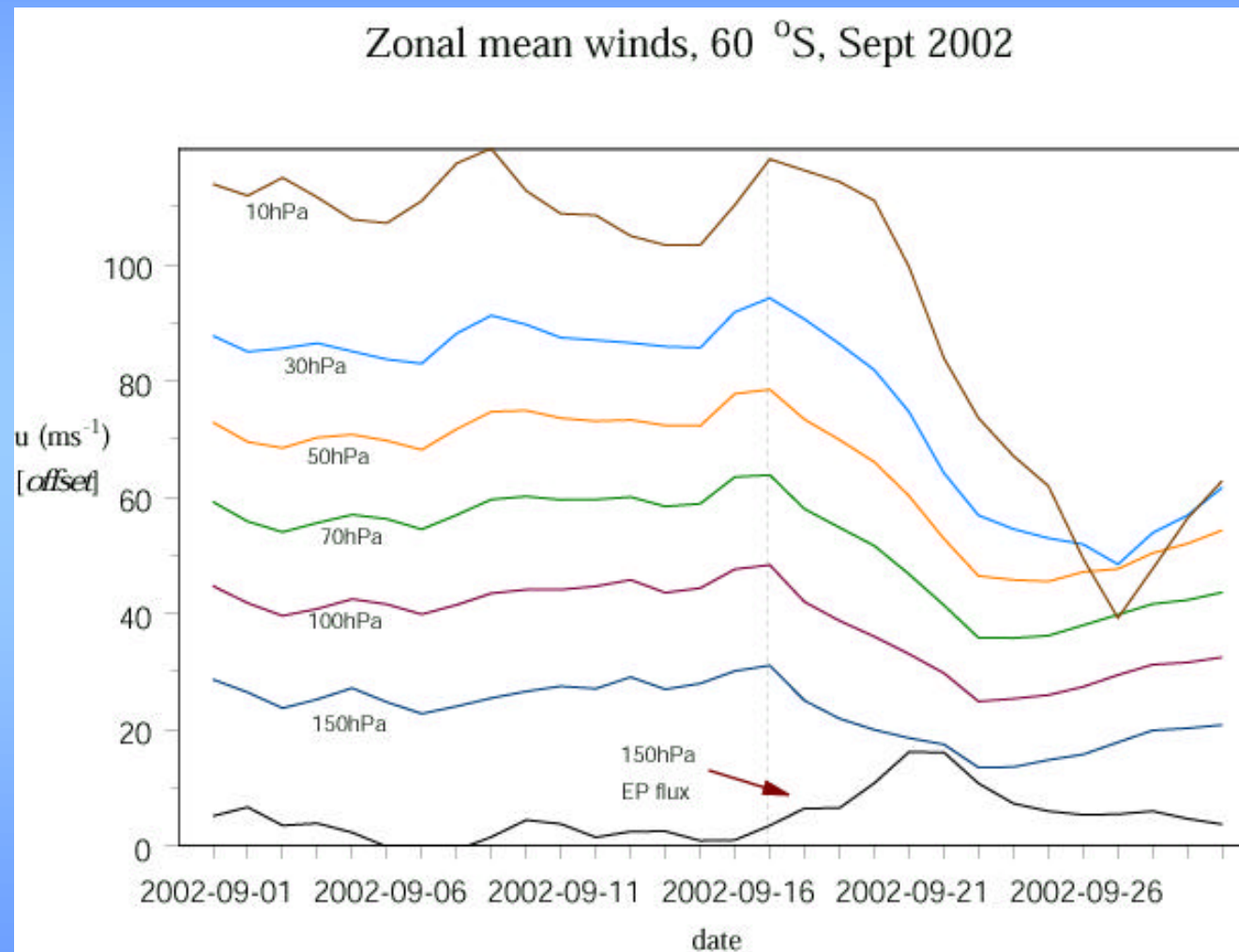


Baldwin, M.P., and T.J. Dunkerton, 2001:  
*Stratospheric harbingers of anomalous weather regimes.*

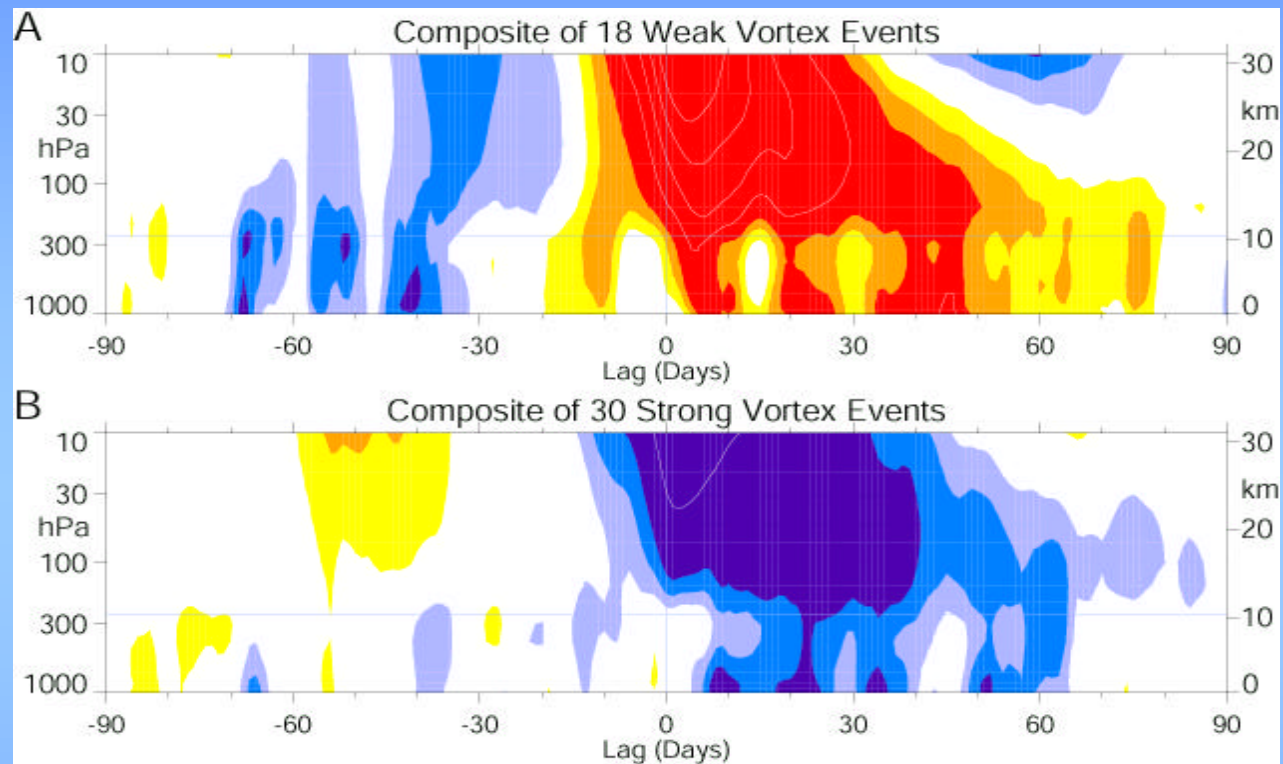
**Science**, 294 581-584.



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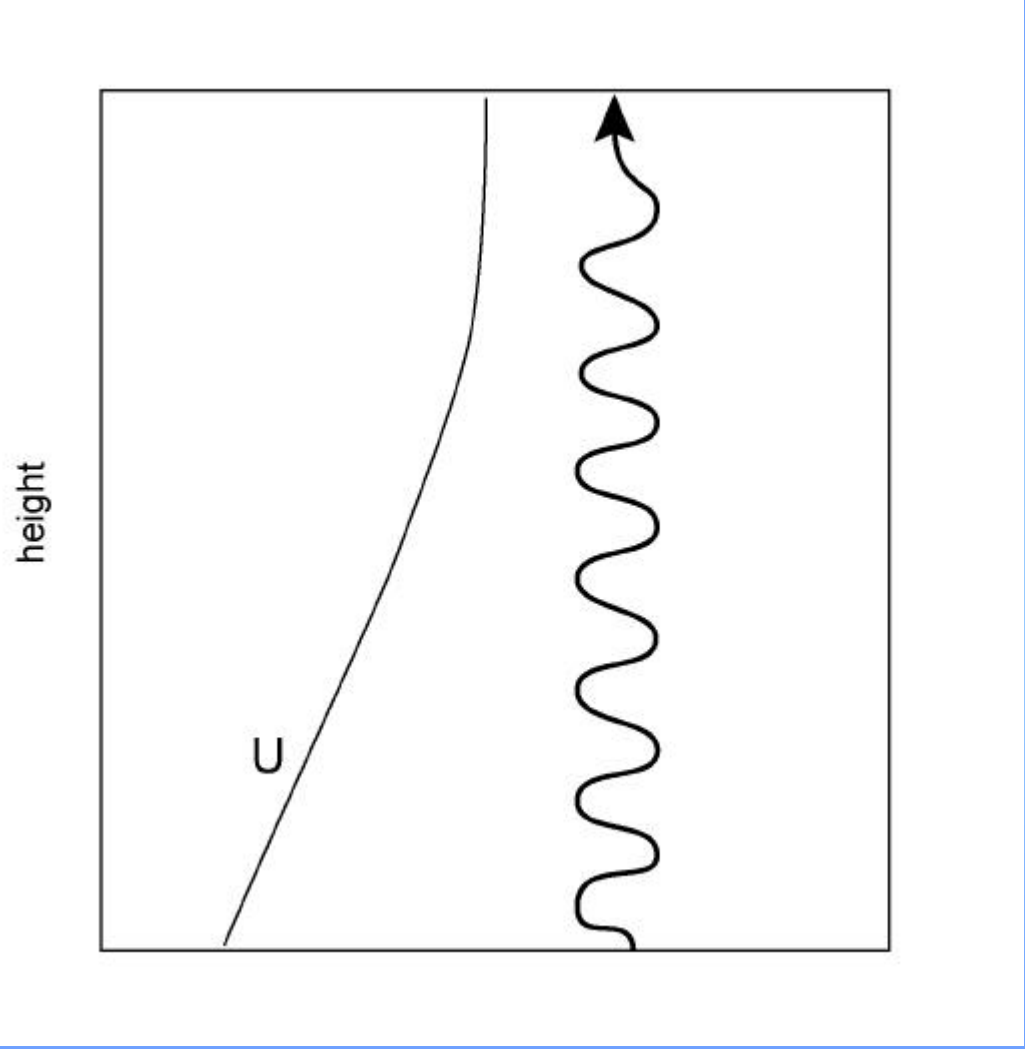


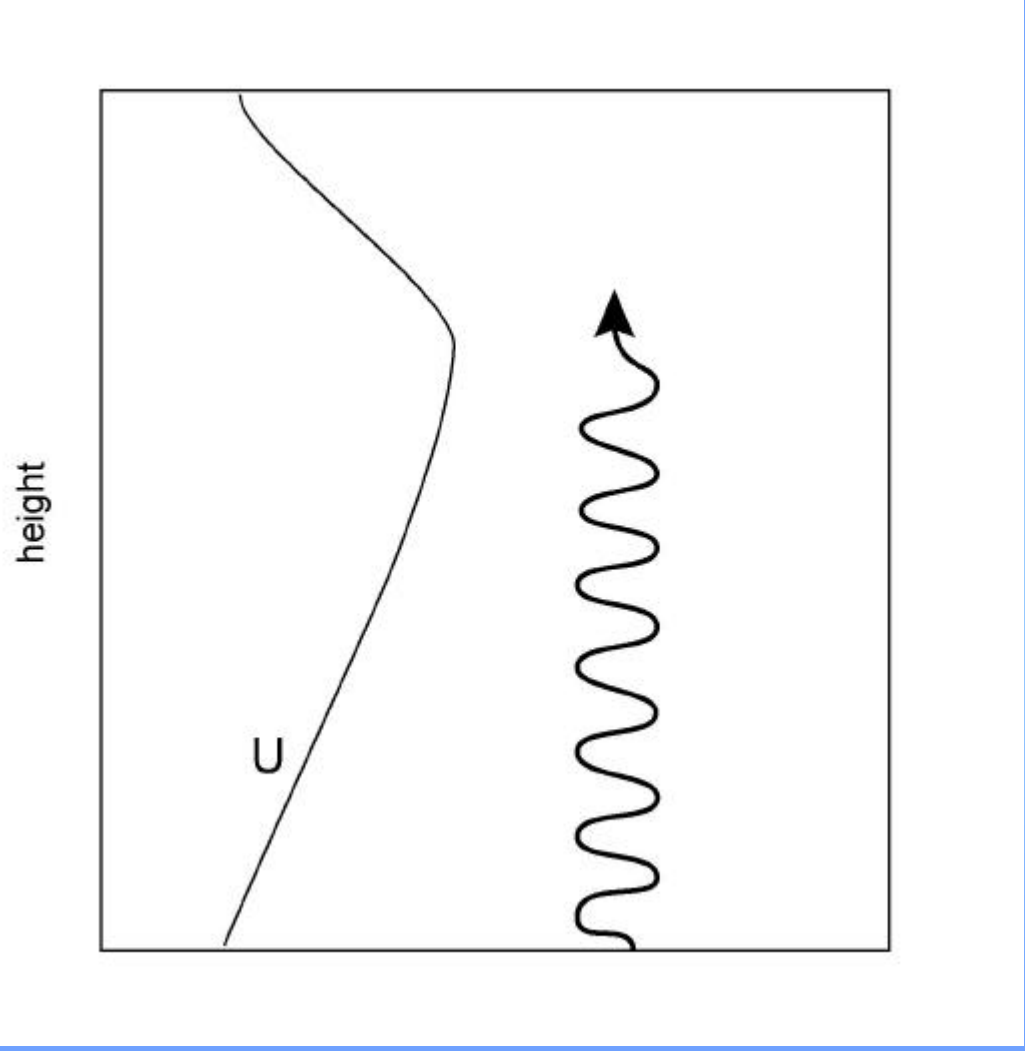
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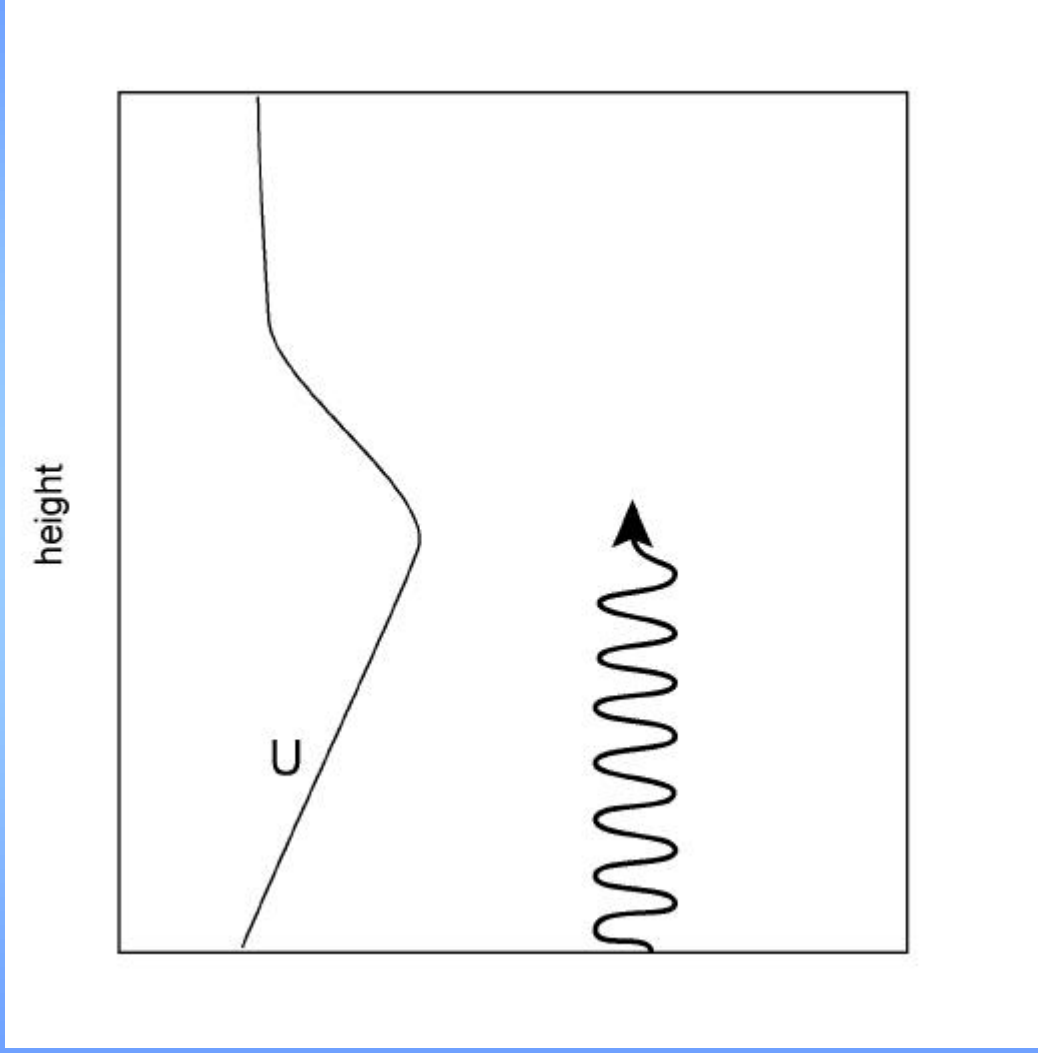


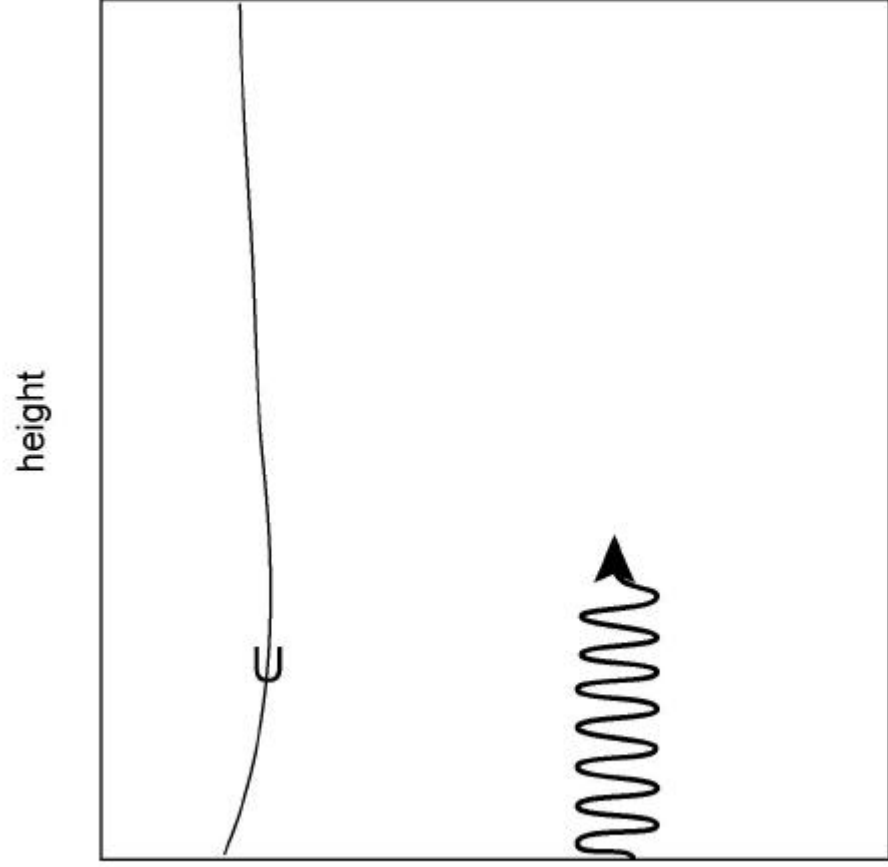
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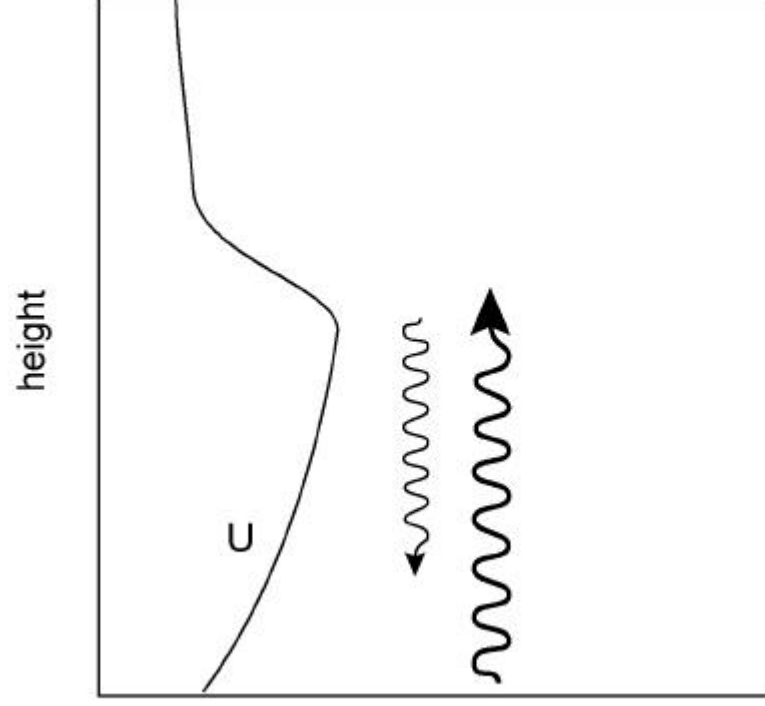
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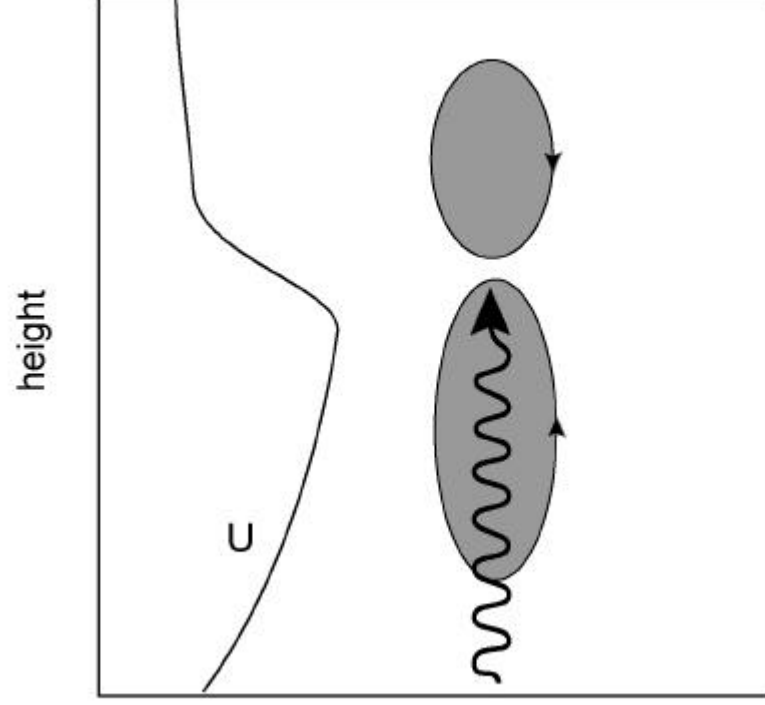






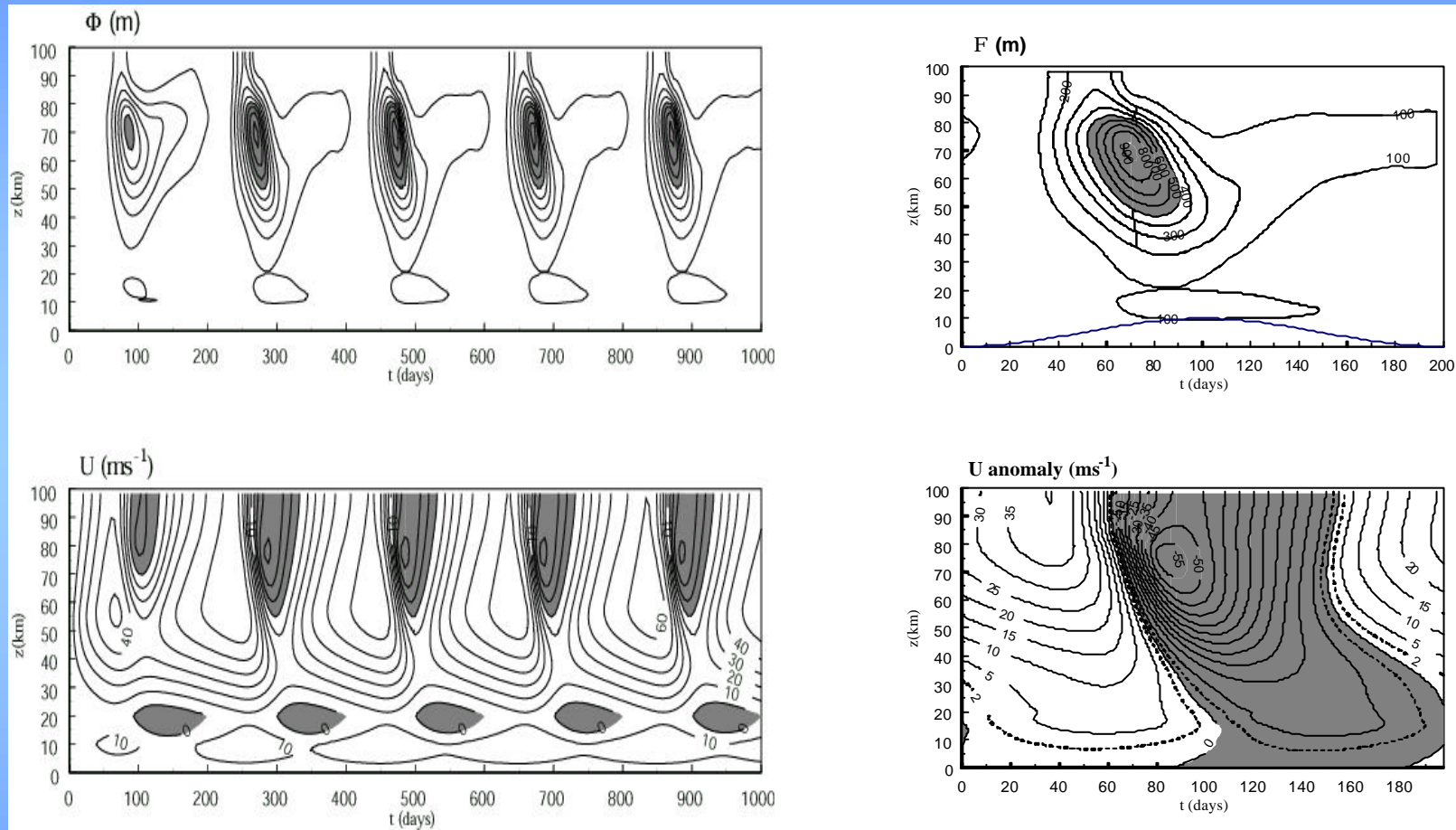


height





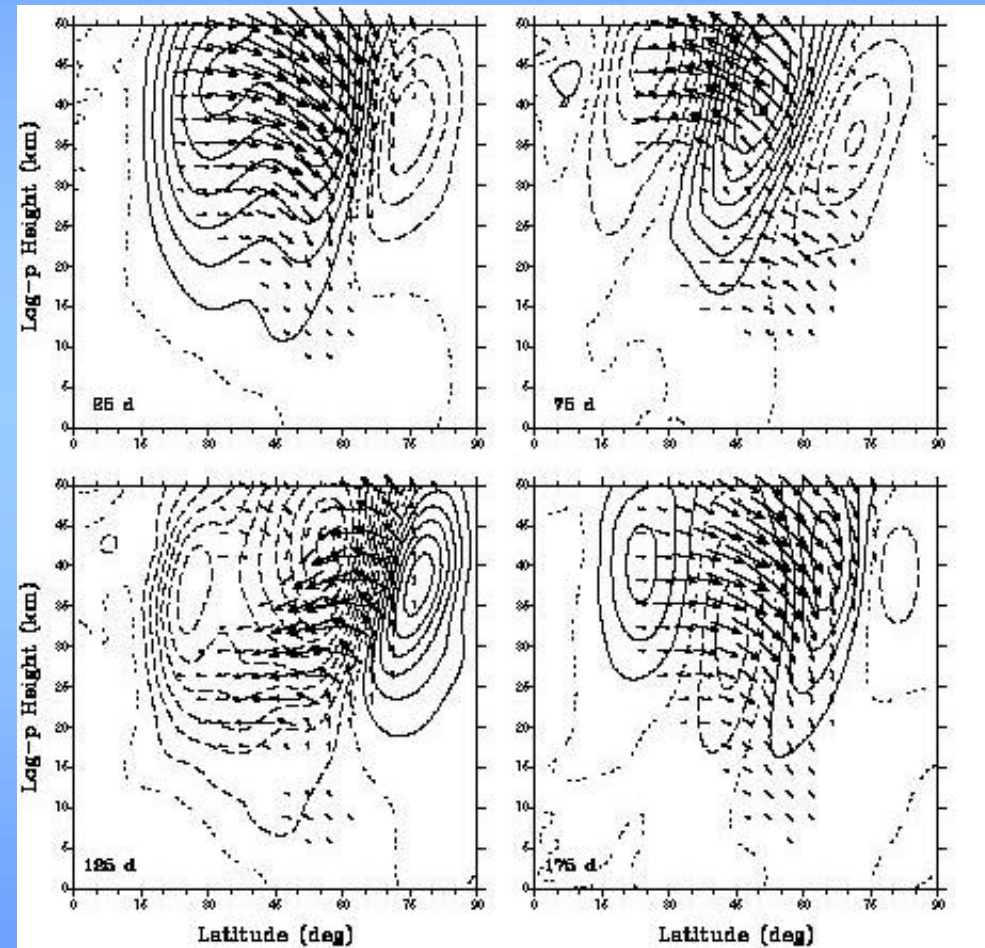
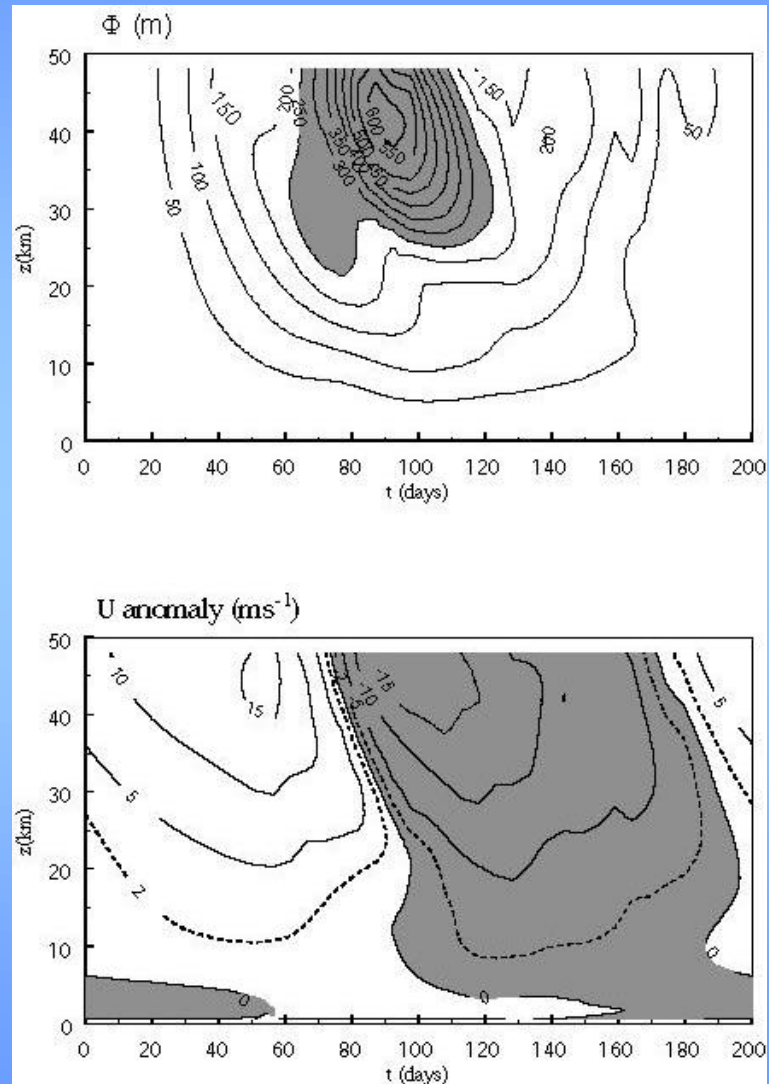
## Response of a truncated b-channel model to periodic wave driving



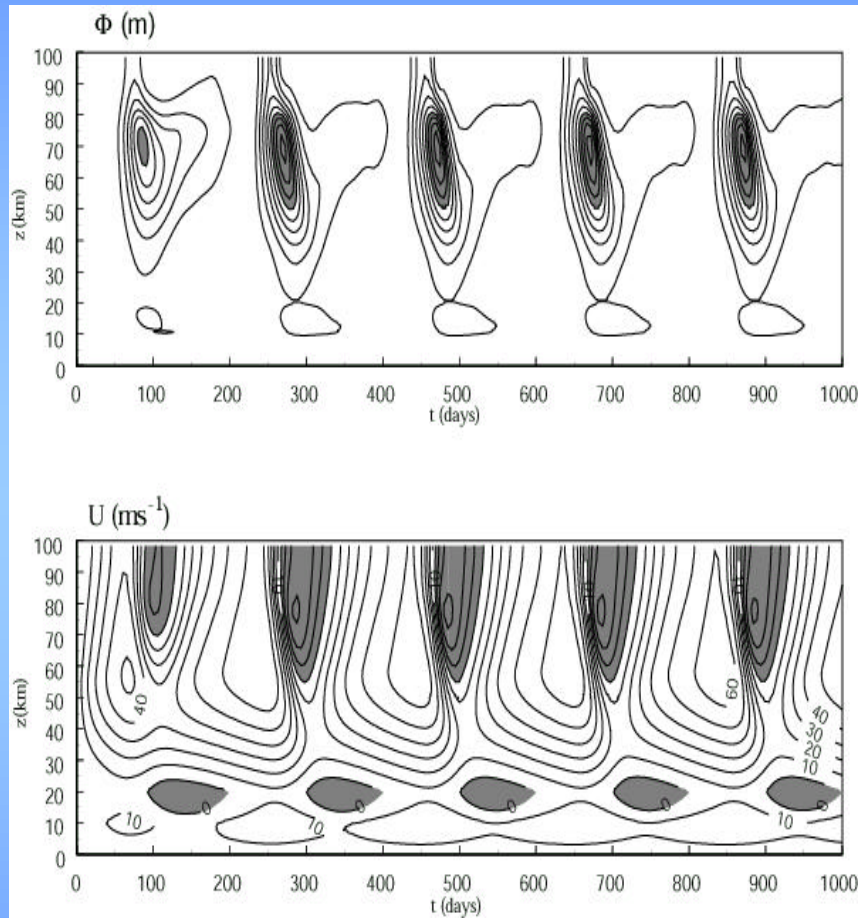
Left: Wave amplitude (m; top) and mean zonal wind in response to periodically modulated tropospheric wave forcing. Right: Composites over one wave period. [Plumb & Semeniuk, 2003]

### 3D model results

[Plumb & Semeniuk, 2003]



## Migration is not (necessarily) information transfer

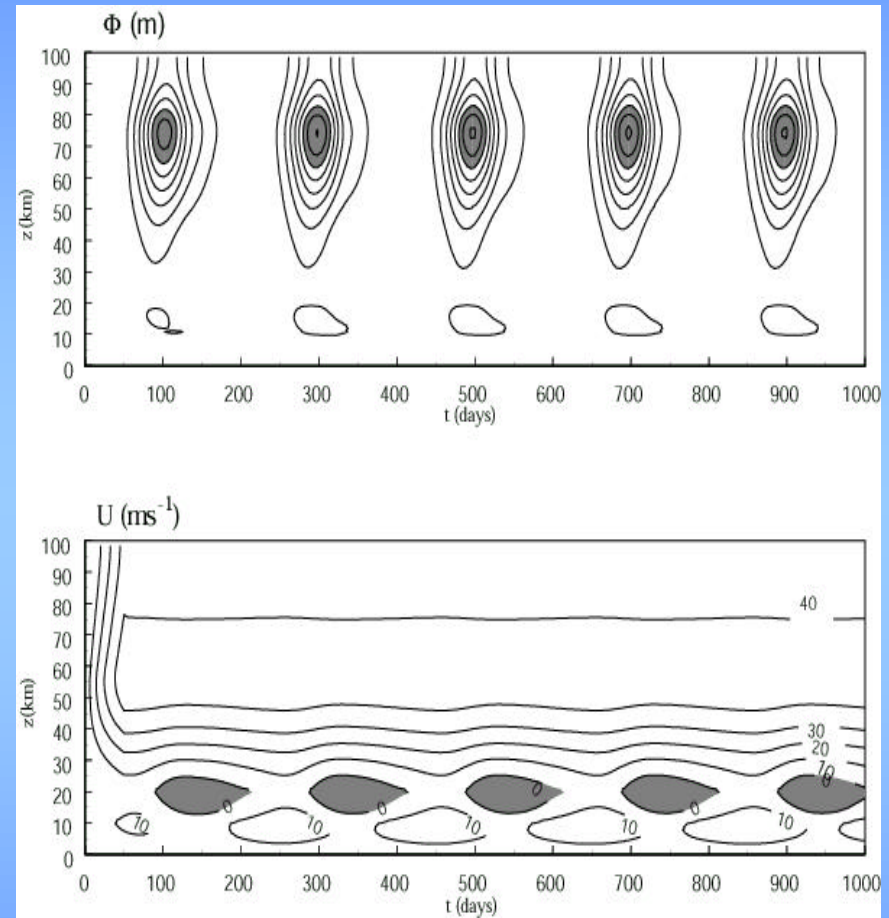
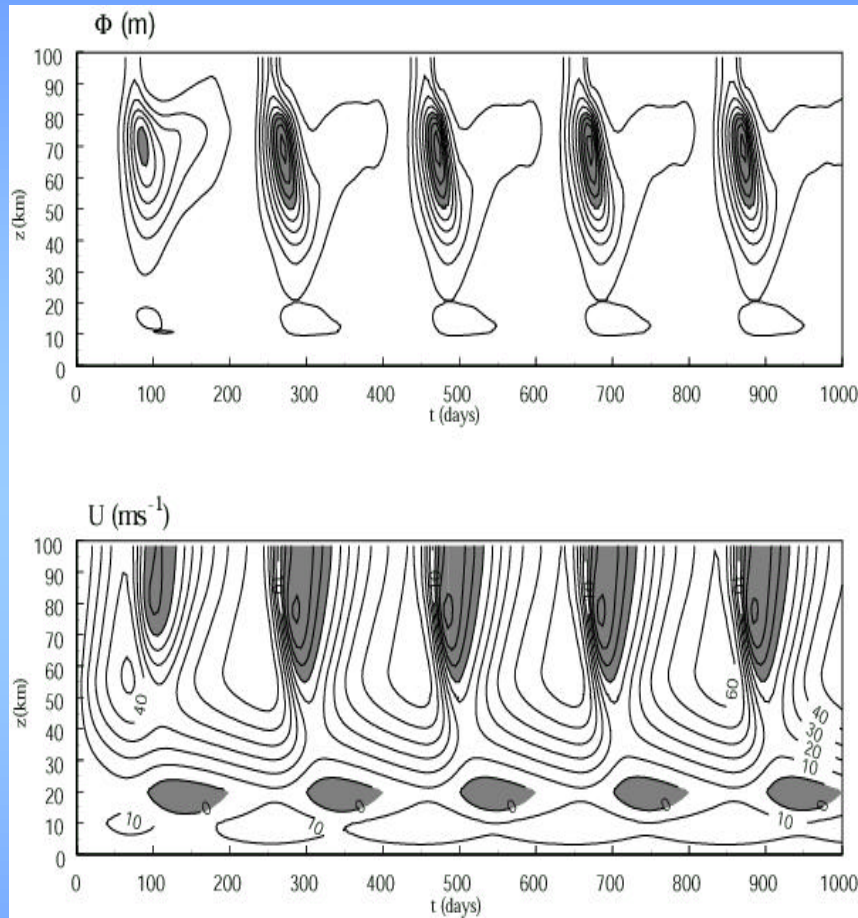


Wave amplitude (m; top) and mean zonal wind in response to periodically modulated tropospheric wave forcing. Left: full response.

*[Plumb & Semeniuk, 2003]*



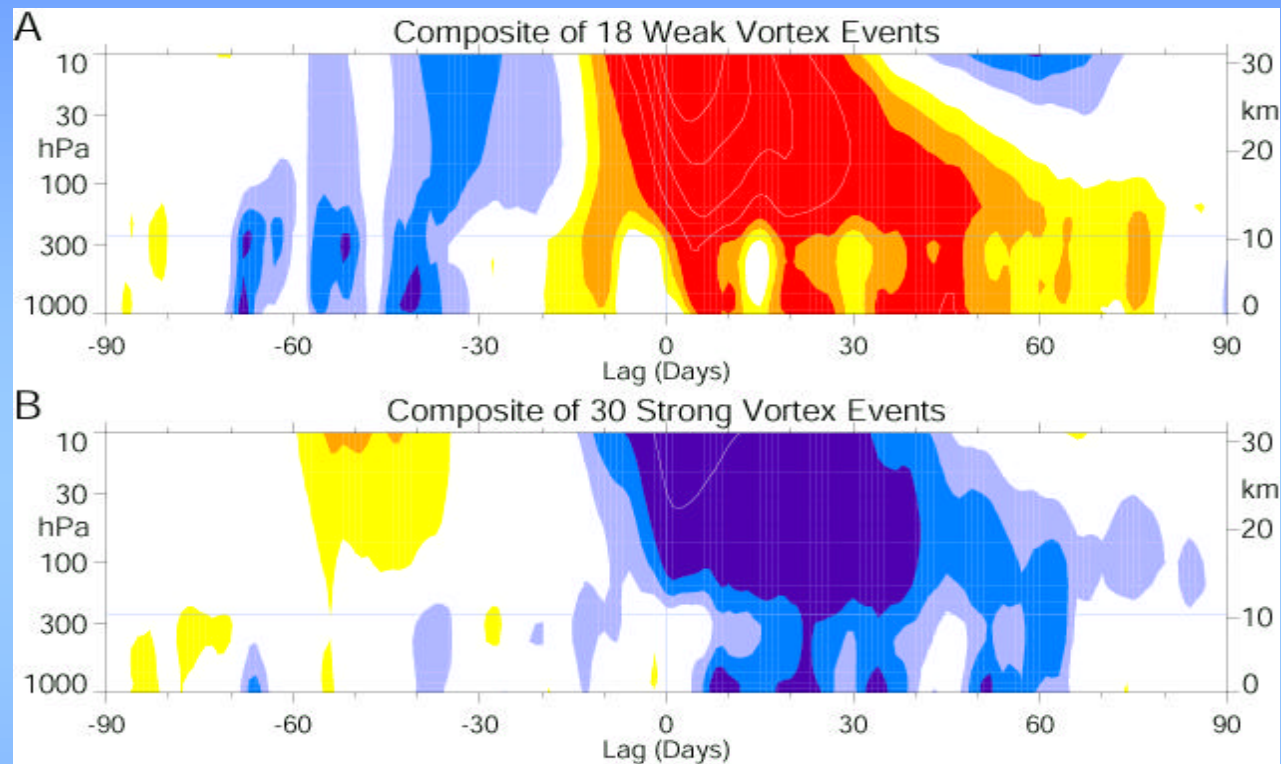
## Migration is not (necessarily) information transfer



Wave amplitude (m; top) and mean zonal wind in response to periodically modulated tropospheric wave forcing. Left: full response. Right: response suppressed above 25km.

[Plumb & Semeniuk, 2003]

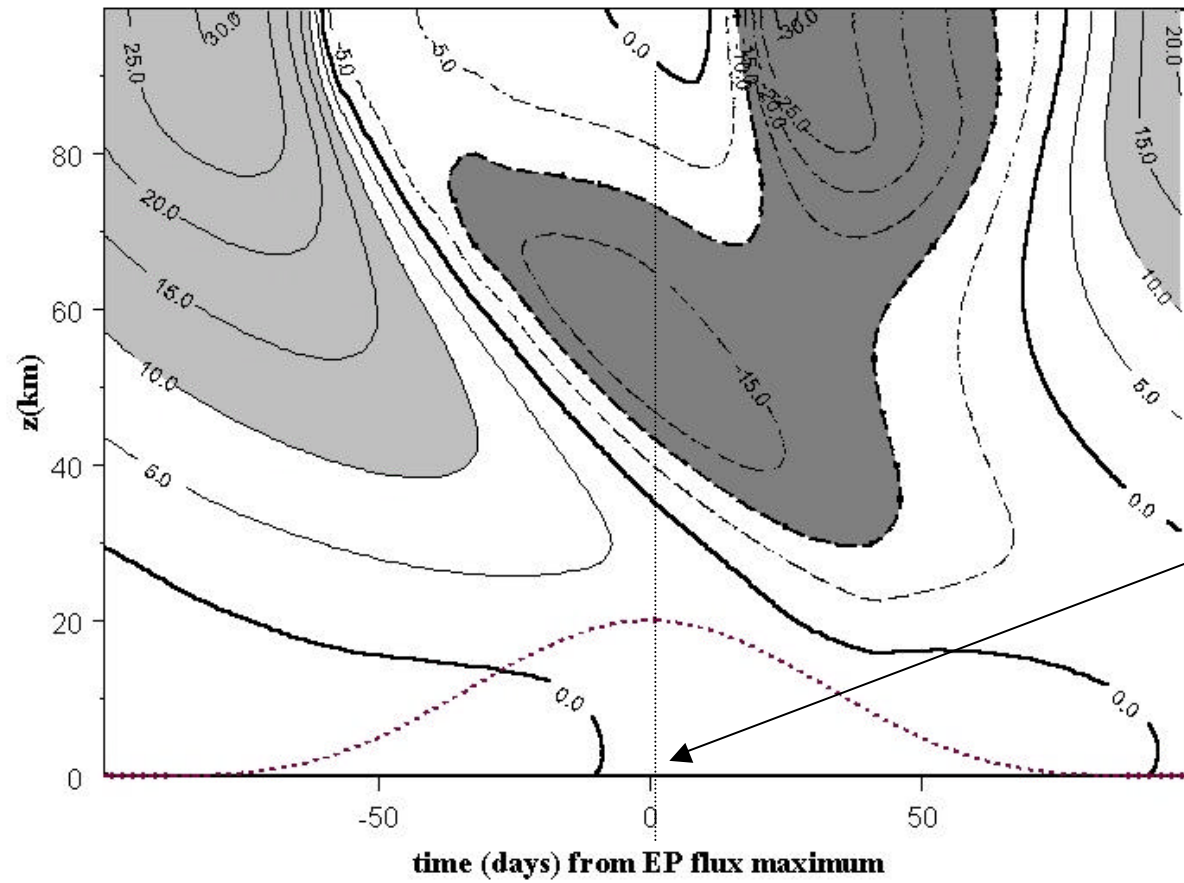
## Downward propagation?



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## Relation with upwelling EP flux



Time of  
maximum  
imposed  
flux

## Detecting an effect

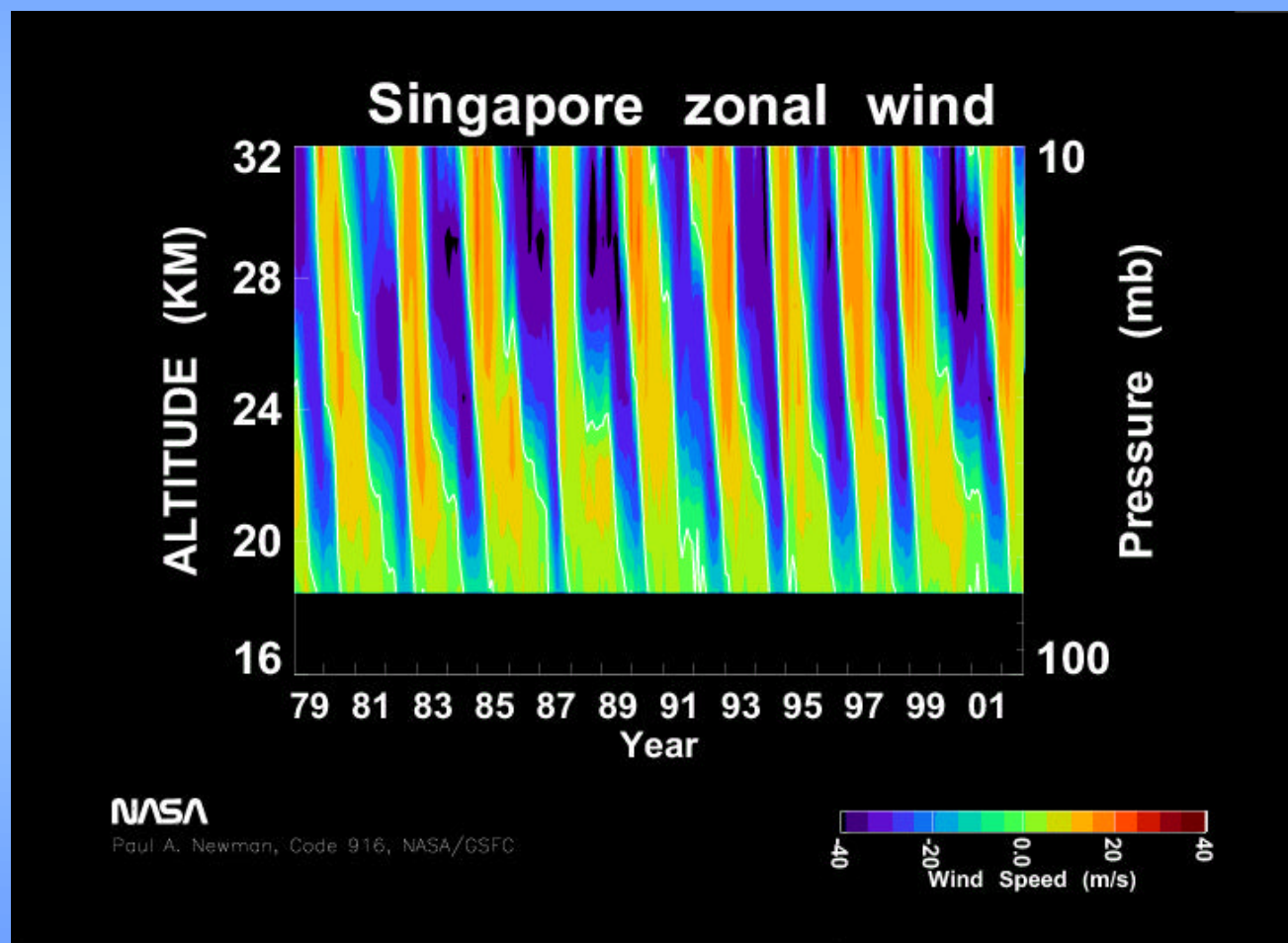
- *Is there any unambiguous observational evidence for such an effect and how could it be identified?*

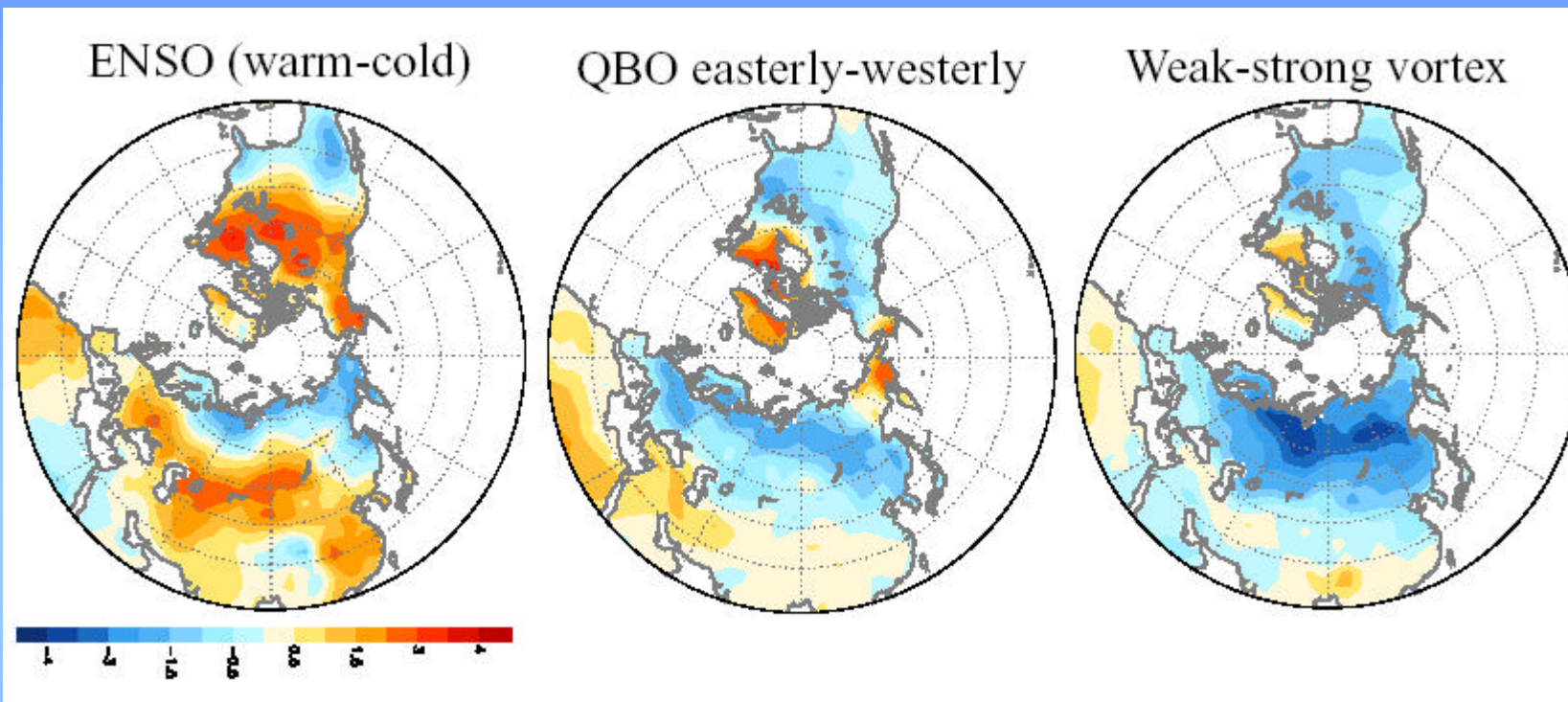
## Detecting an effect

- *Is there any unambiguous observational evidence for such an effect and how could it be identified?*
  - *Most stratospheric behavior has precursors in the troposphere*
  - *Need independent stratospheric signals*



## Signals of Stratospheric Origin: QBO





Difference in SAT between days 1-60 following weak and strong vortex conditions at 10-hPa; Januarys when the QBO is easterly and westerly; winters corresponding to the opposing phases of ENSO.

*Thompson, Baldwin, and Wallace, J. Climate 2001*

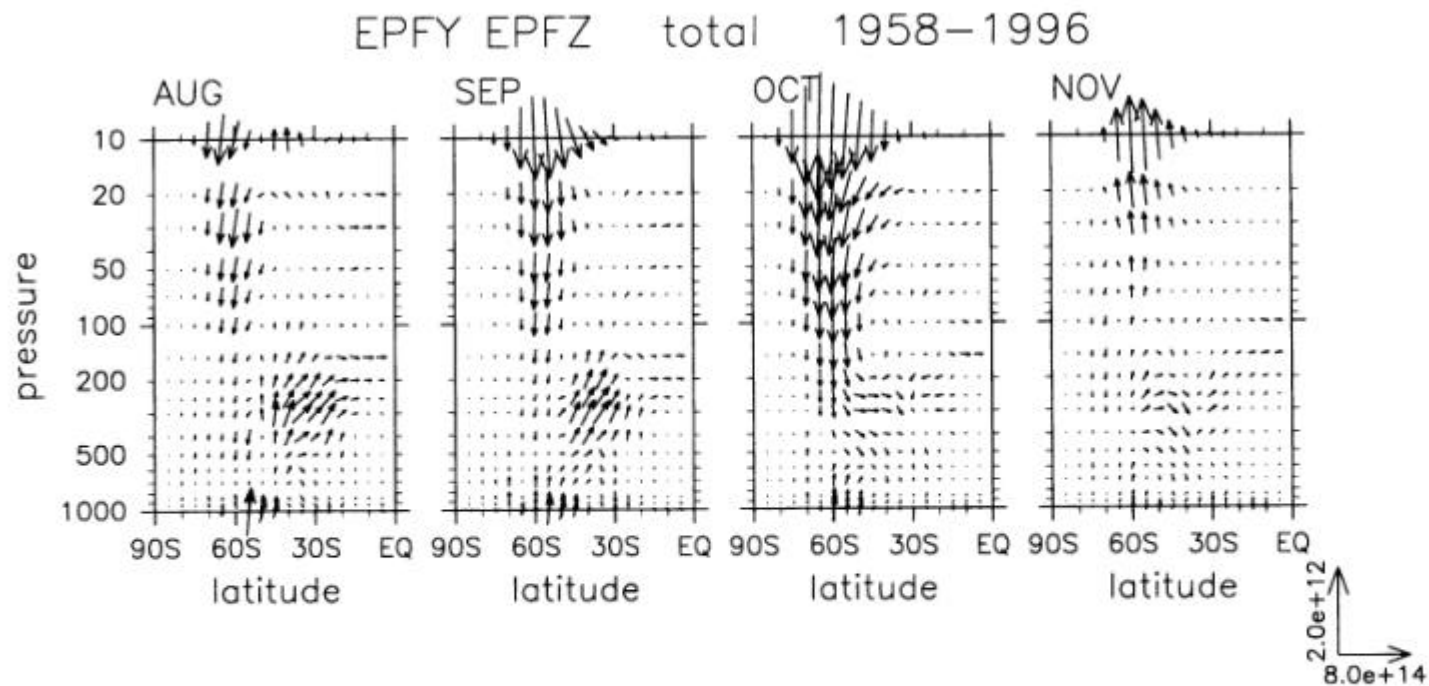


Fig. 6. Meridional sections of the monthly mean composite difference of the EP flux vectors  $F^*$  in the Southern Hemisphere for August, September, October and November. Two arrows in the right of the panel display the lengths of  $8 \times 10^{14} \text{ m}^4 \text{ s}^{-2}$  equatorward and  $2 \times 10^{12} \text{ m}^4 \text{ s}^{-2}$  upward, respectively.

Naito  
*J. Met. Soc. Japan (2002)*

# Conclusions

- *Short-term (intraseasonal)*
  - Not clear what effect there is
  - Annular modes appear to be controlled by synoptic scale eddies; any effect on annular modes (unless coincidental) requires an impact on tropospheric eddy, mean flow interaction
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  - Even if the stratosphere has little or no causal effect, stratospheric behavior may be useful in forecasting for the troposphere by virtue of the rapid stratospheric response
- *Long-term (climate)*
  - Tropospheric response to tropospheric wave forcing sensitive to location (in latitude but **not** altitude) of stratospheric wave dissipation (effect  $\sim 1\text{ms}^{-1}$ )
  - Tropospheric planetary-scale waves (and EP flux into the stratosphere) have some sensitivity to latitudinal shifts of stratospheric winds
  - What controls the EP flux into the stratosphere? – The capacity of the stratosphere to absorb wave activity is finite